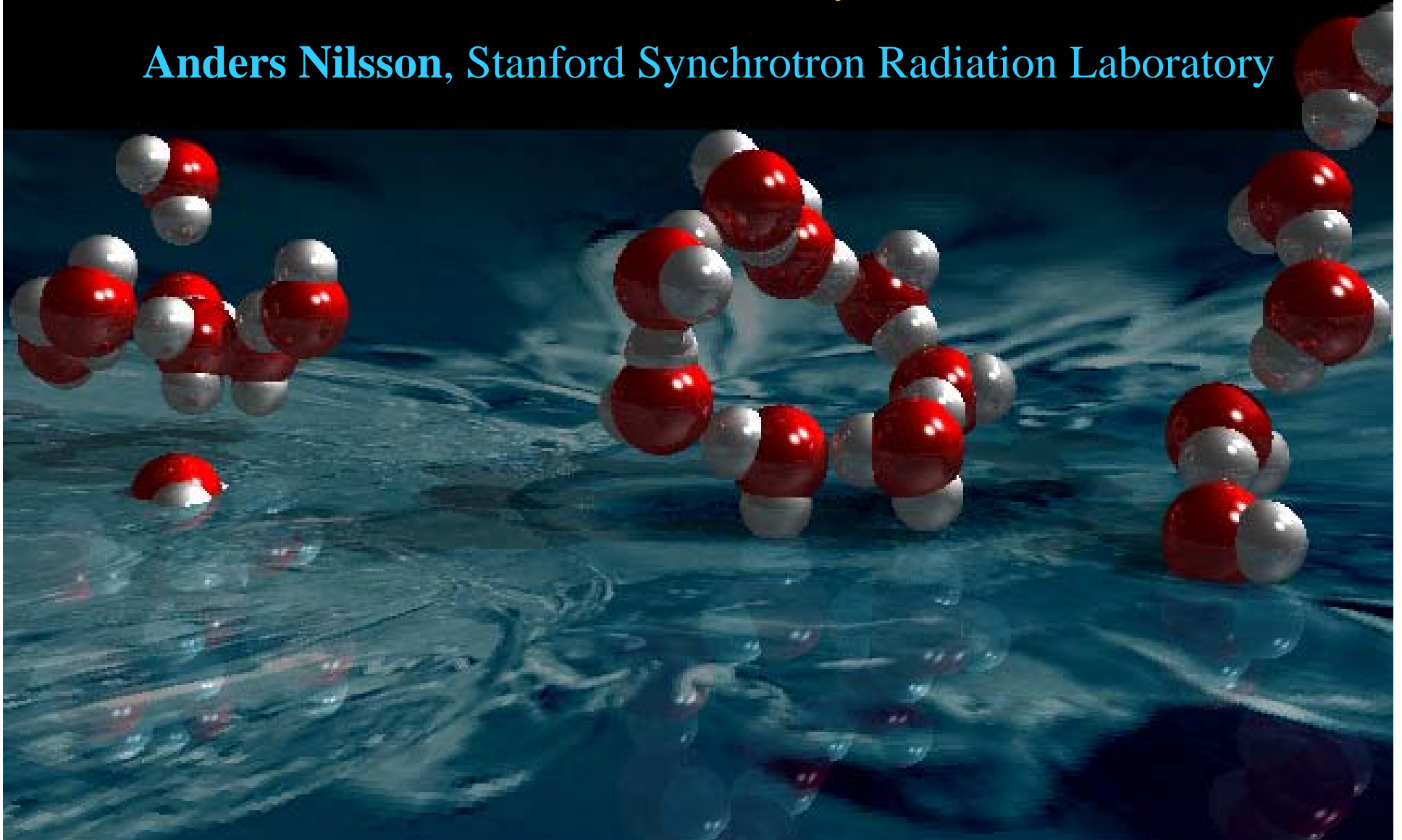


# *X-ray spectroscopy of Water Controversy*

**Anders Nilsson**, Stanford Synchrotron Radiation Laboratory



# Coworkers

Philippe Wernet/Stanford  
(BESSY)

Uwe Bergman/Stanford

Hirohito Ogasawara/Stanford

Thomas Hirsch/Stanford

Dennis Nordlund/Stanford

Lars Åke Näslund/Stanford

Lars Pettersson/Stockholm

Matteo Cavalleri/Stockholm

Michael Odelius/Stockholm

Clemens Heske/UNLV-Colab

Peter Glatzel/Utrecht

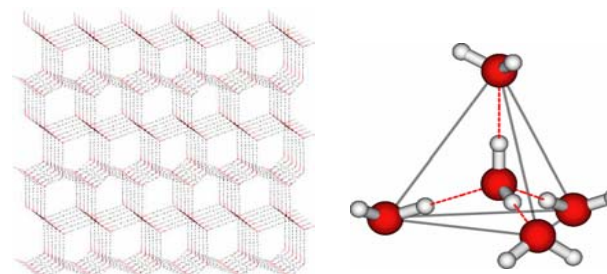
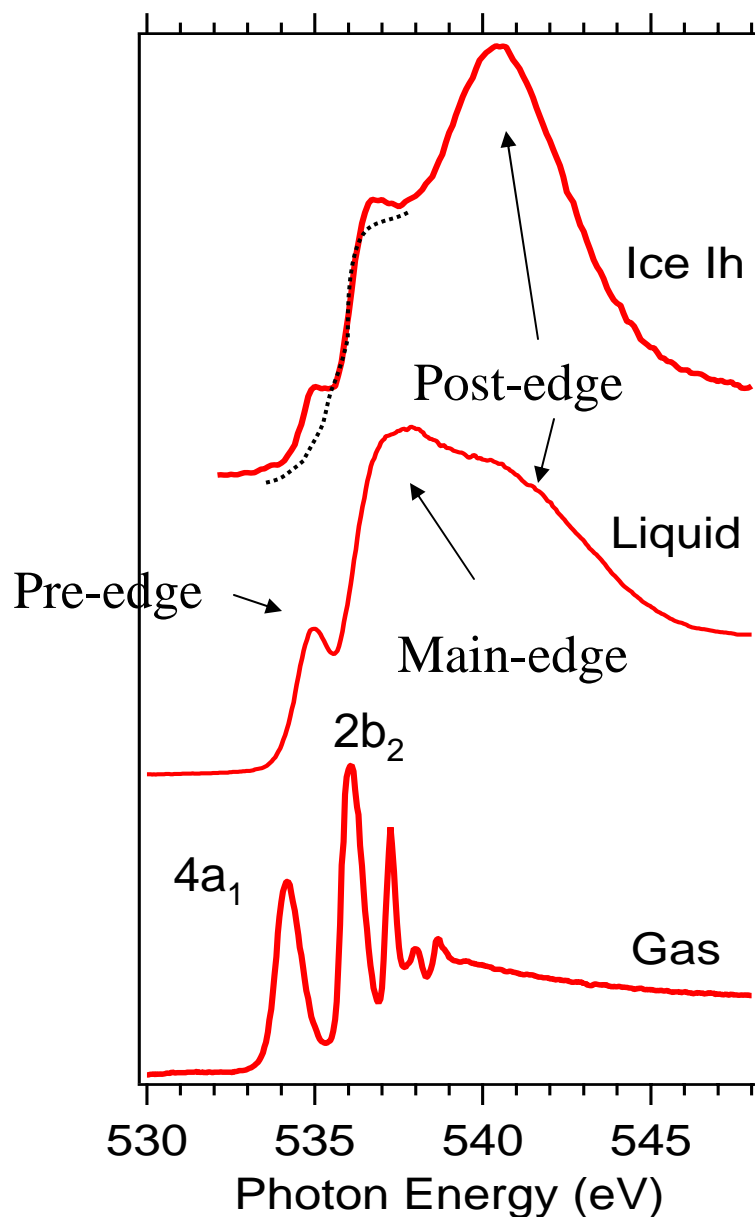
Lars Ojamäe/Linköping

Satish Myneni/Princeton

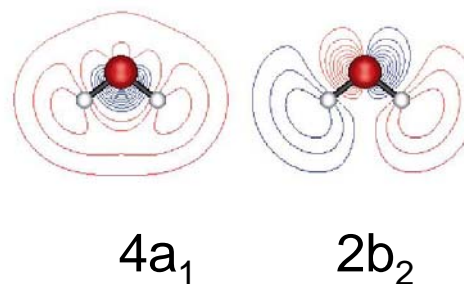
Henrik Bluhm/ALS

Experiments: APS (BioCat beamline), ALS beamline (8.0, 11.0) and  
MAXlab (511)

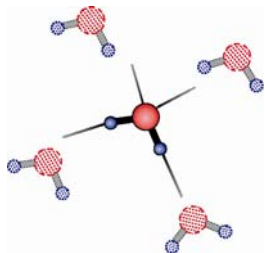
# X-ray Absorption Spectroscopy of Water



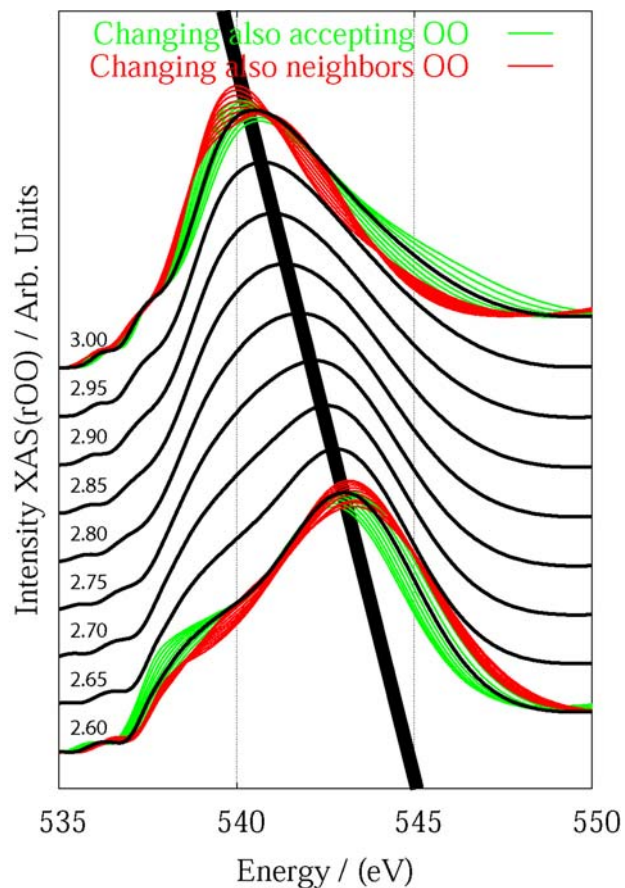
“...making and breaking of H-bonds...”



# Unequal distortions of H-bonds

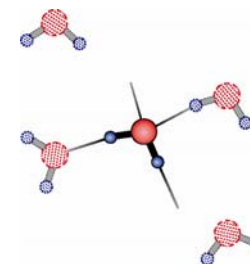


Symmetrical distortions

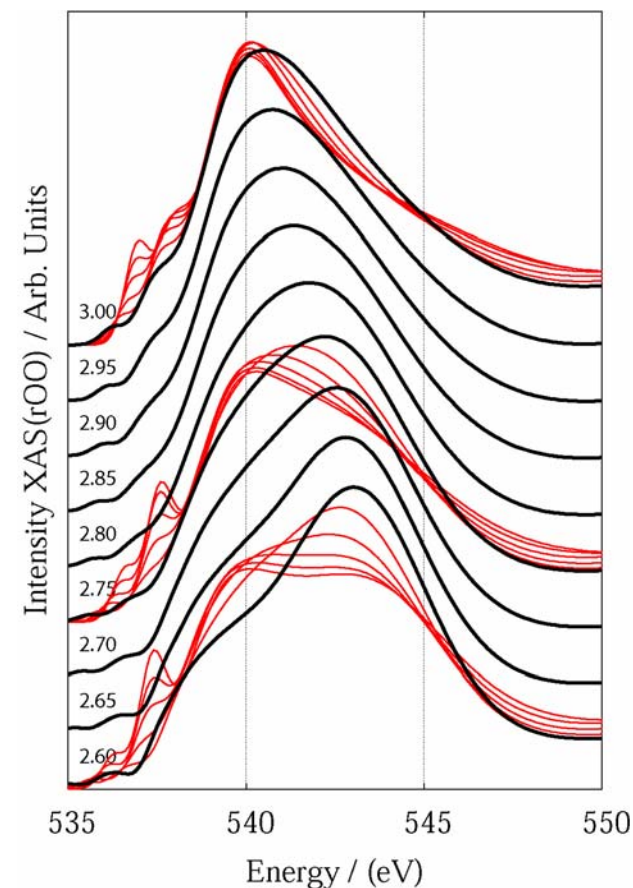


XAS sensitive  
to asymmetry  
in H-bonding

Odelius et. al. unpublished

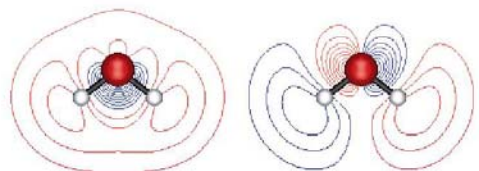


Asymmetrical distortions



# Water Dimer Calculations

Free molecule MO

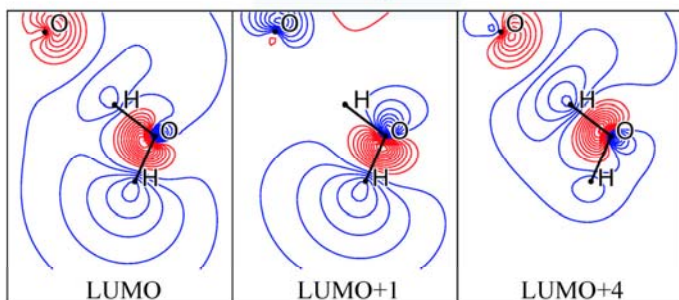
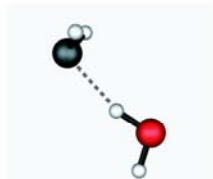


$4a_1$

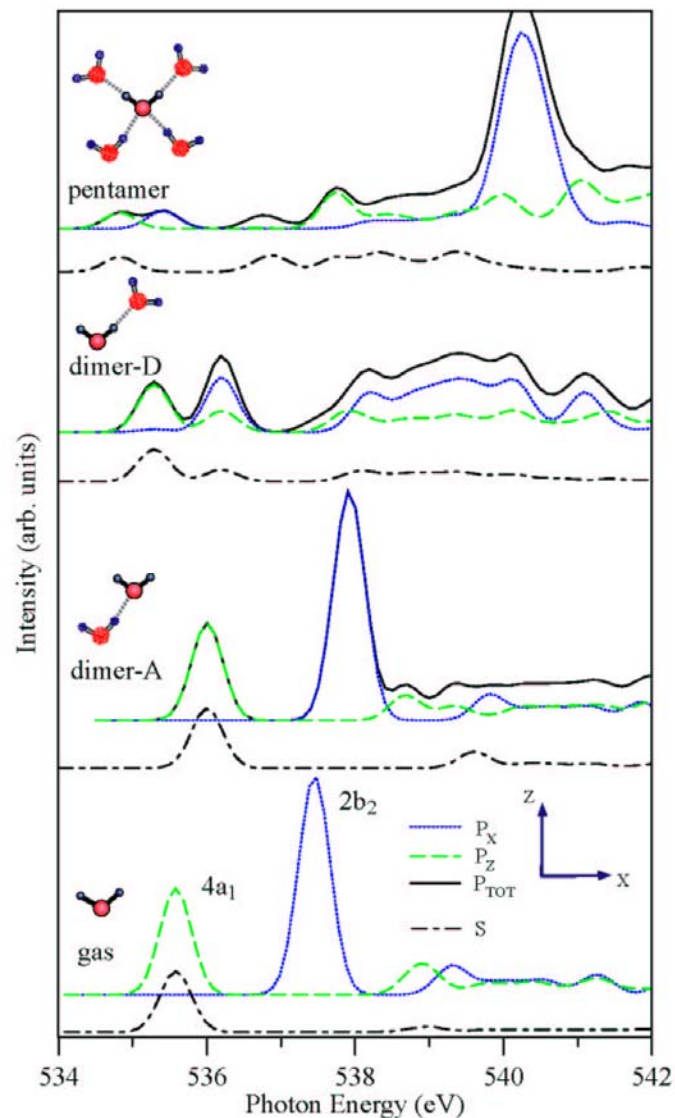
$2b_2$

Dimer Donor MO

dimer-D Molecular Orbitals

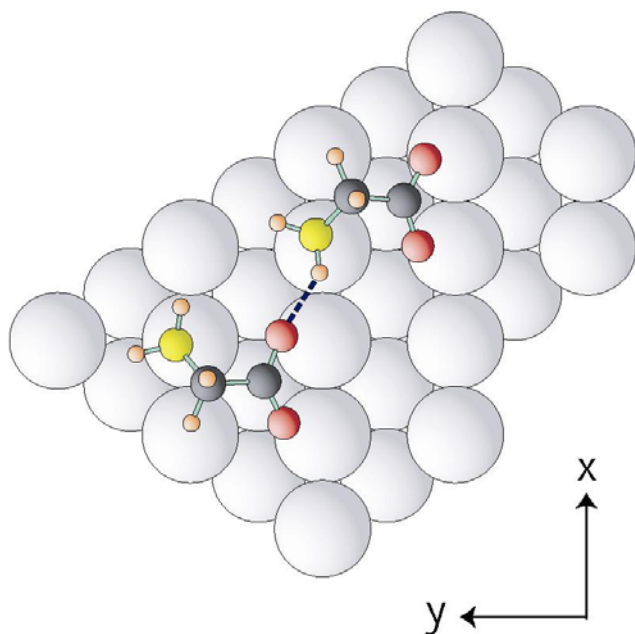
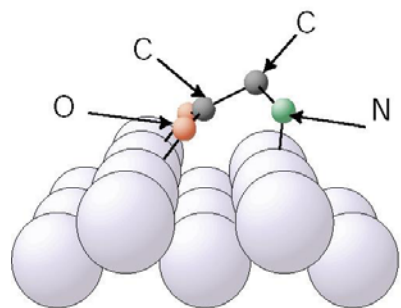


The two OH group different in molecule  
OH group orbital localization

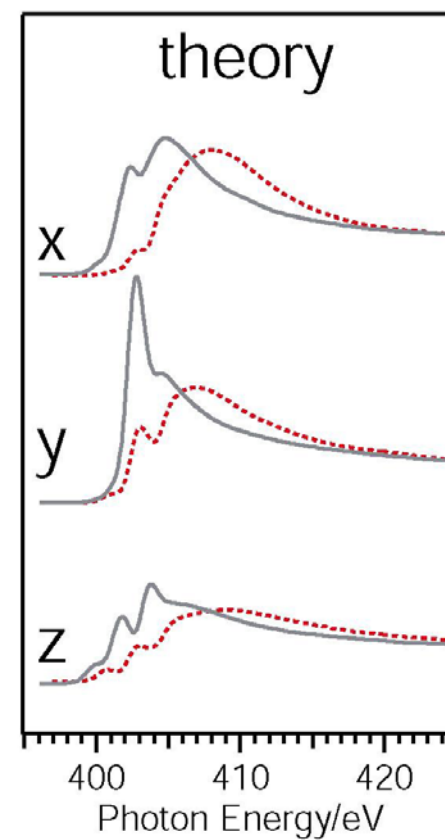
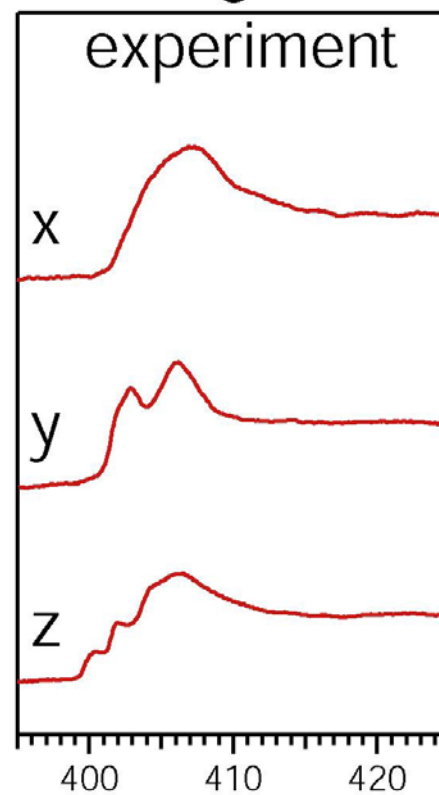


Cavalleri et.al. Chem. Phys. Lett. **364**, 363 (2002)

# H-bonding Glycine



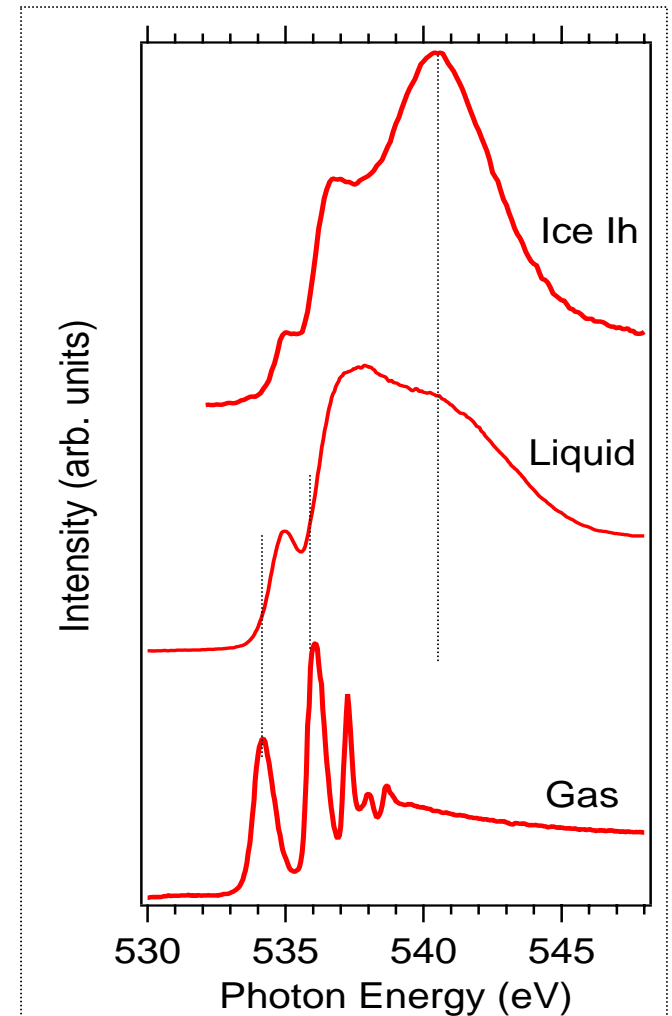
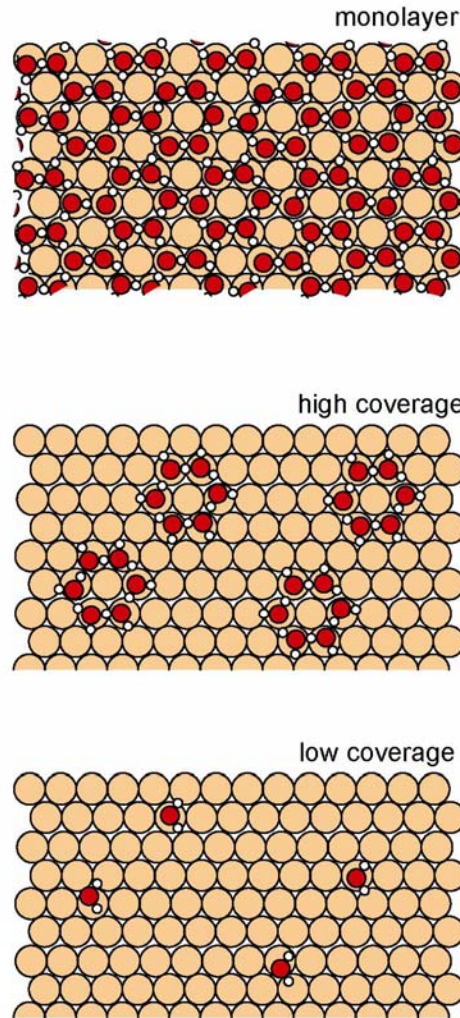
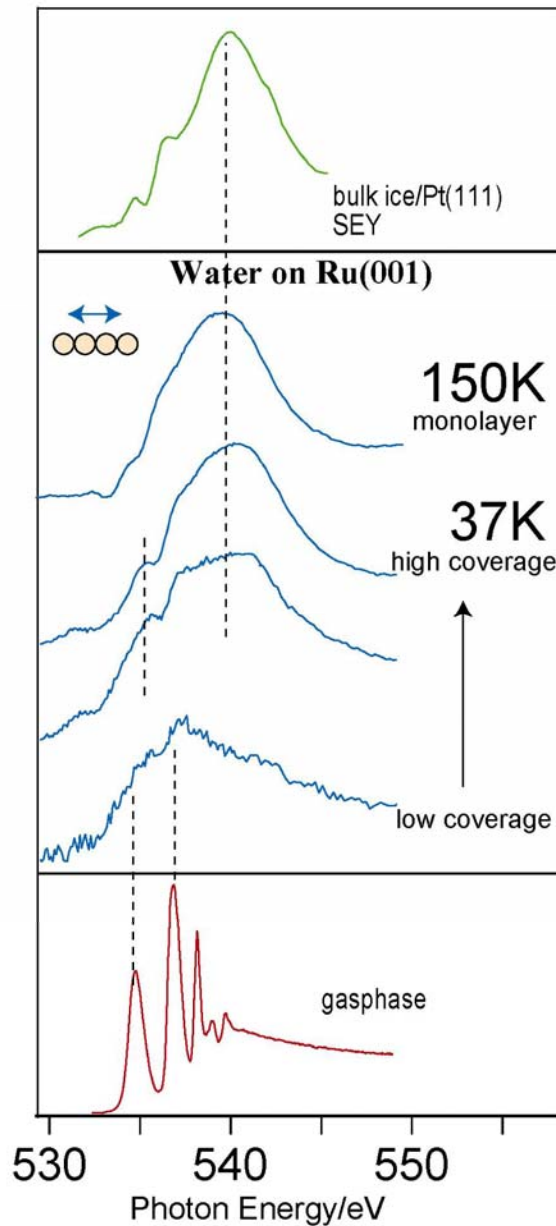
## N K edge XAS



Nyberg et.al *J. Chem. Phys.* **119**, 12577 (2003)



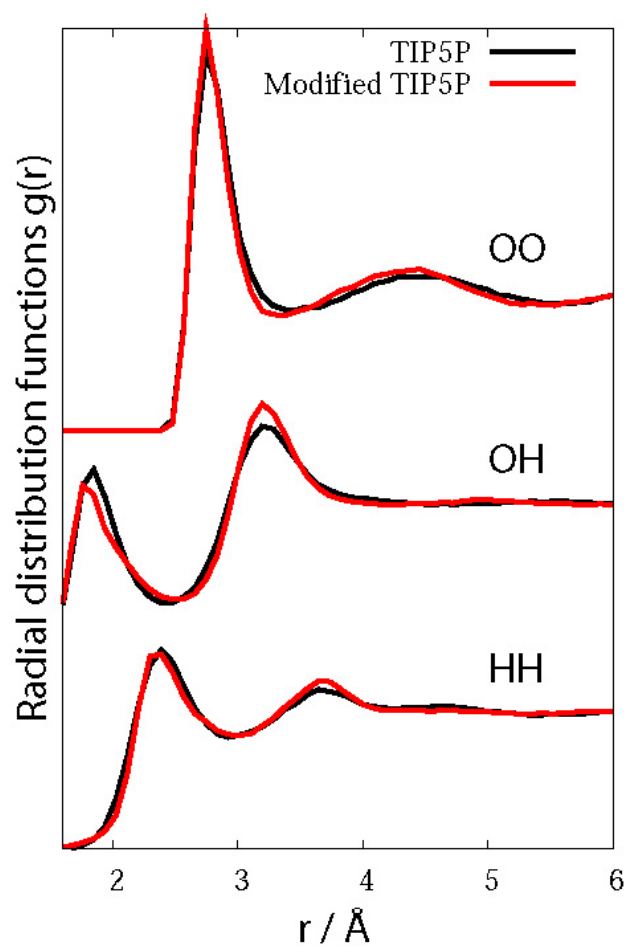
# Water clusters on surfaces



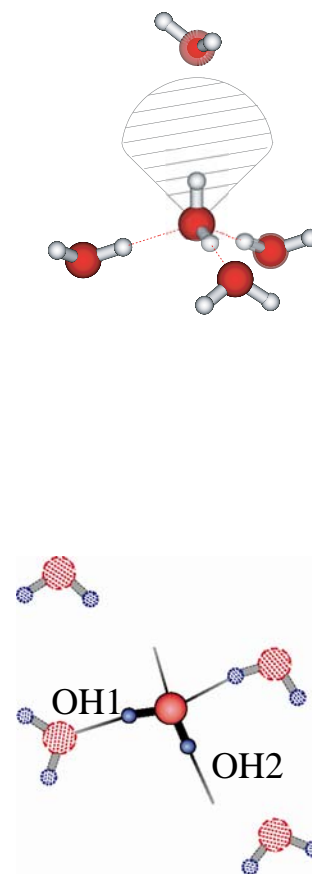
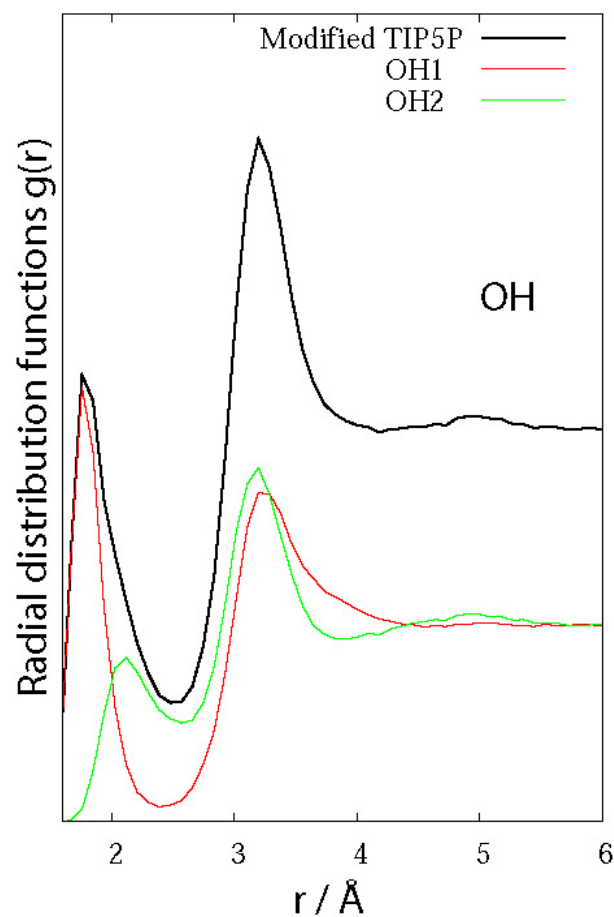
Andersson et. al. unpublished

# Radial Distribution Functions

Symmetric distribution TIPP5  
(25% SD 75% DD)



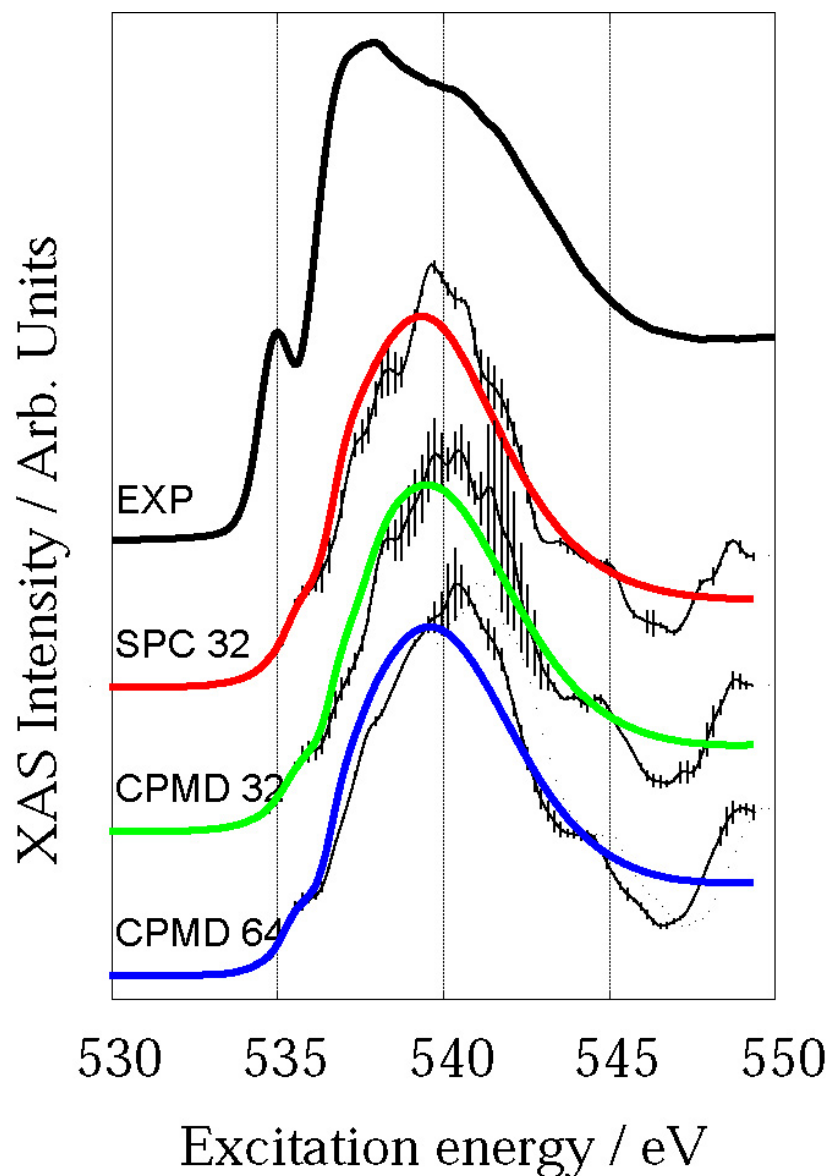
Asymmetric distribution TIPP5 modified  
(75% SD 25% DD)



M. Odelius et.al. unpublished



# XAS calculated spectra from MD dumps



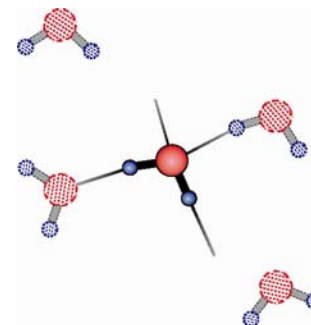
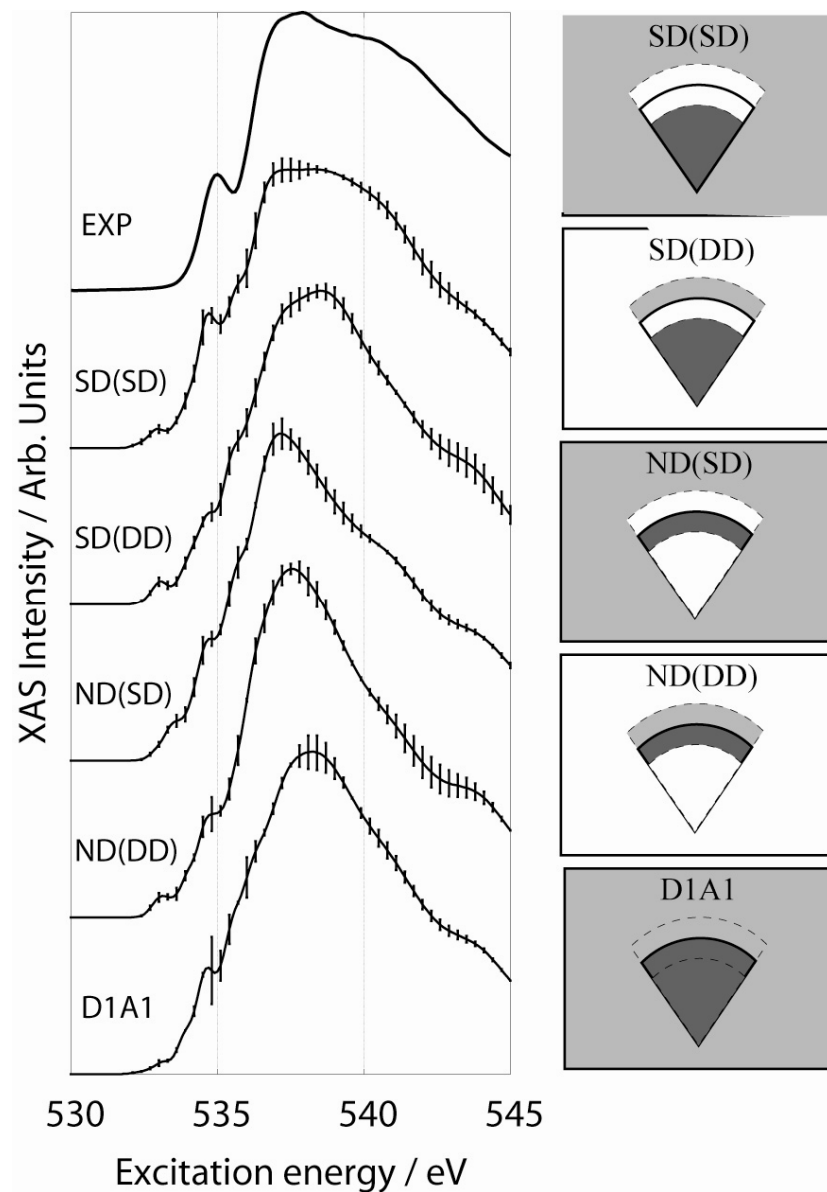
Only post-edge

Tetrahedral configurations

Not consistent with  
experimental spectrum

Odelius et. al. unpublished

# Stronger Asymmetry



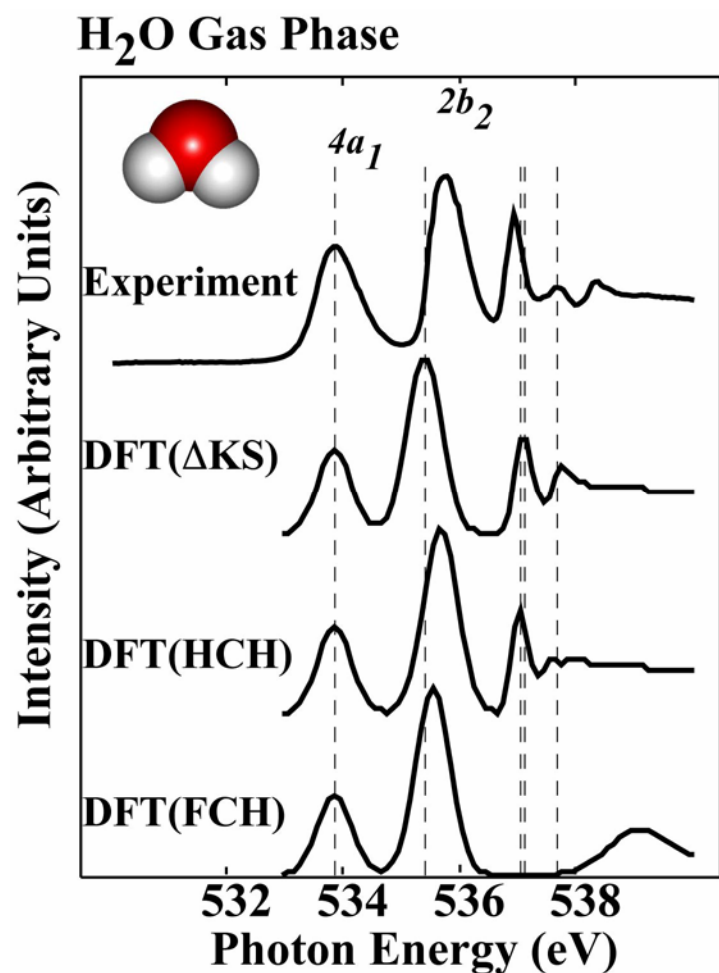
Selecting all SD  
species from CPMD

Odelius et. al. unpublished

# Controversy X-ray Spectroscopy of Water

- Car group, Princeton, MD simulation can explain the XAS spectrum on liquid water using full core hole approximation
- Saykally group, Berkeley, Proposing alternative explanation of XAS based on energy criterium using on Boltzman distribution of temperature dependent population of two different species
- Guo-Nordgren-Ågren-Luo groups, Uppsala, Stockholm, Berkeley, X-ray Emission shows that rings and chains can not exist in the liquid and therefore the tetrahedral model is correct

# Approximation for Full Spectrum: Half versus Full Core-Hole Potential



Too much final state screening  
Rydberg states pushed up in FCH

Paper by Hetenyi et al using CPMD claiming agreement for XAS of liquid (19% SD) by using Full Core-Hole potential (*J Chem Phys* **120**, 8632 (2004))

**Final state rule:** Energies determined by final state (i.e. H<sub>2</sub>F)

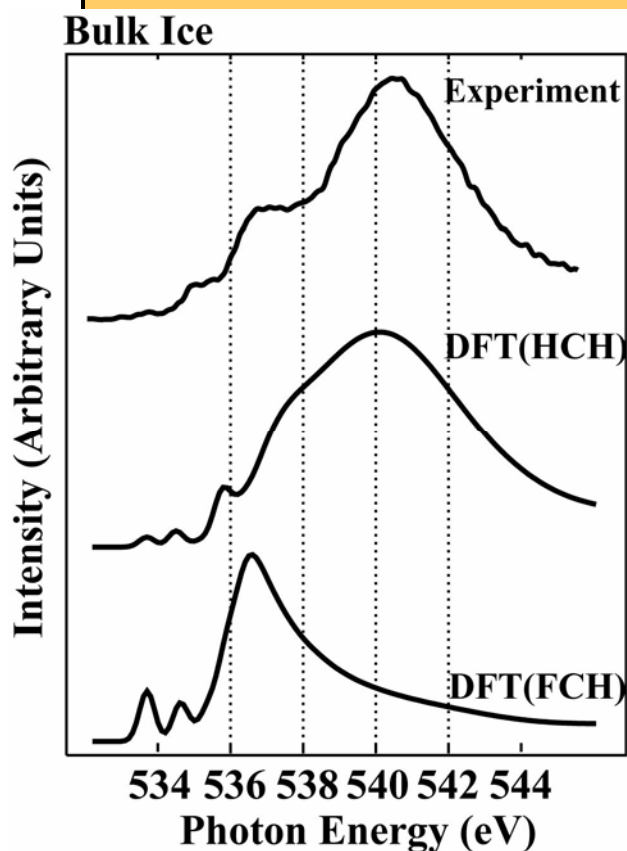
**Initial state rule:** Intensities determined by unoccupied states in ground state

**Half Core-Hole or Transition potential (Slater) balances initial and final state effects**

**Slater transition state**  $\Delta E \approx \epsilon_f^{1/2} - \epsilon_i^{-1/2}$

Cavalleri et al, *Phys.Chem.Chem.Phys* **15** (2005) 2854

# Half versus Full Core-Hole Potential



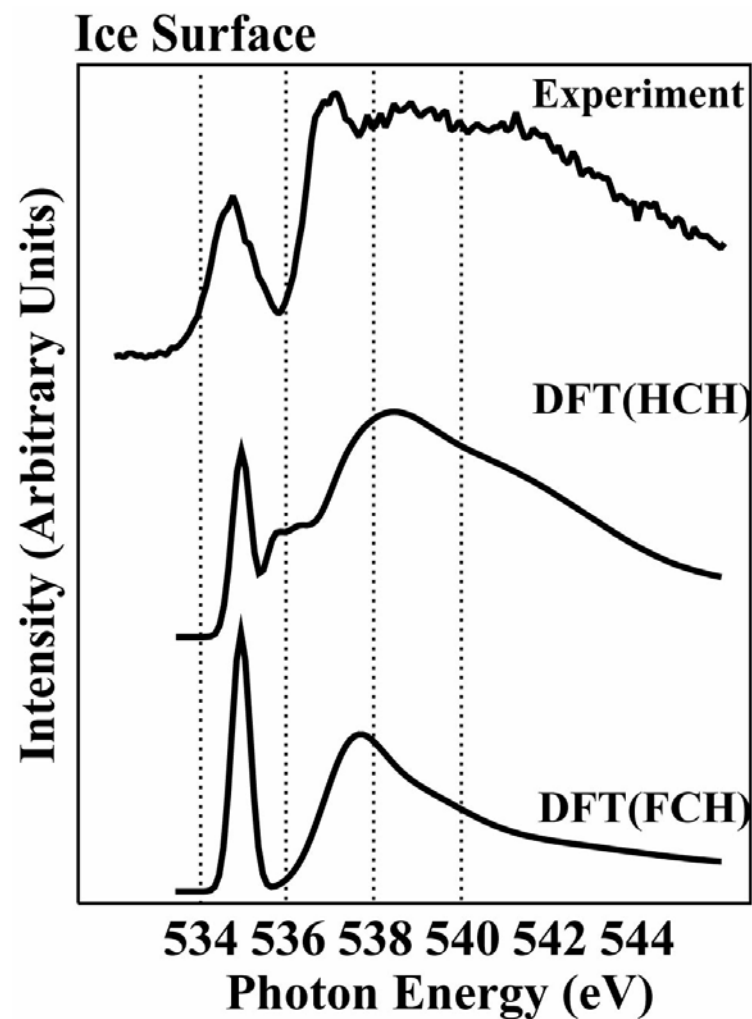
- Intensity localization at on-set of spectrum – excitonic effects with FCH

FCH does not reproduce upwards shift with H-bond formation

- Contrary to experiment for bulk ice both for shape of spectrum and rate of delocalization ( $<0.5$  fs from RPES)\*
- Spectrum positions determined from  $\Delta$ KS calculation (same for FCH and HCH)
- **Only intensity shifts**

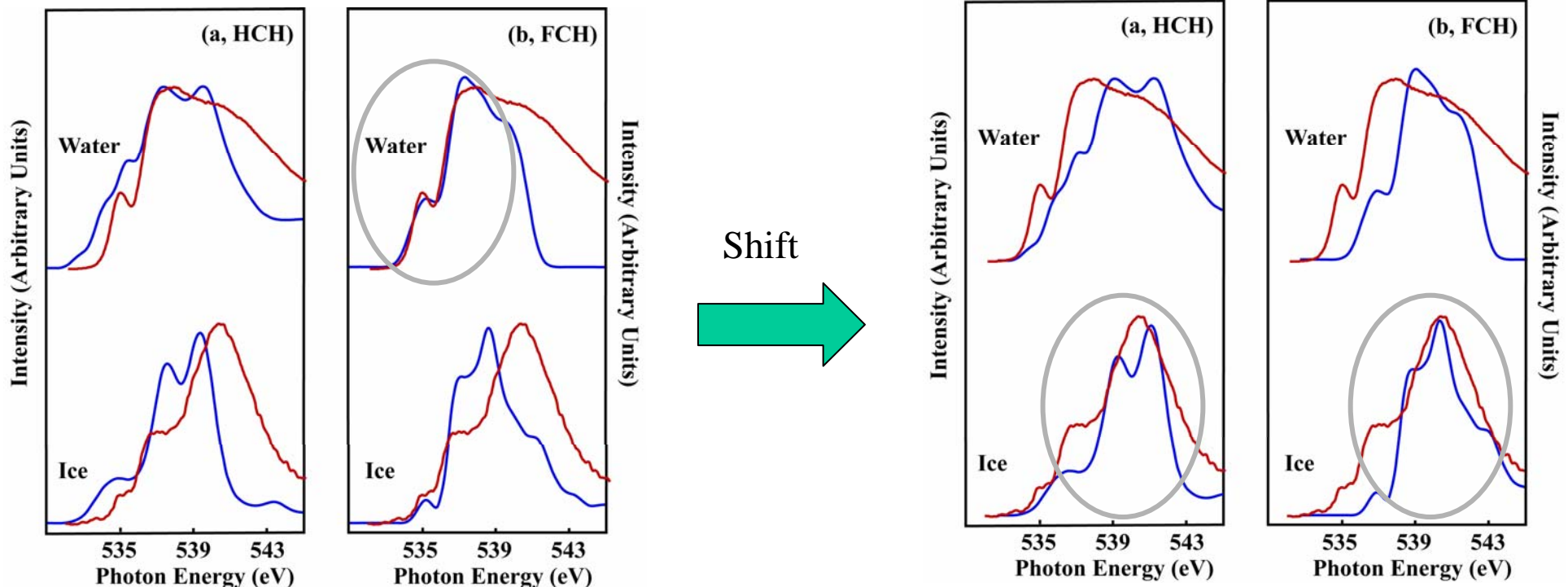


# Half versus Full Core-Hole Potential



- **Ice surface:** pre-edge state localized (excitonic)
- RPES shows localization for  $>20$  fs\*
- Both HCH and FCH give reasonable spectra
- FCH brings intensity down too much

# Half versus Full Core-Hole Potential: Energy-scale in CPMD



No intrinsic energy scale in pseudo-potential CPMD

In Hetenyi et al spectra have been shifted to maximize agreement for liquid

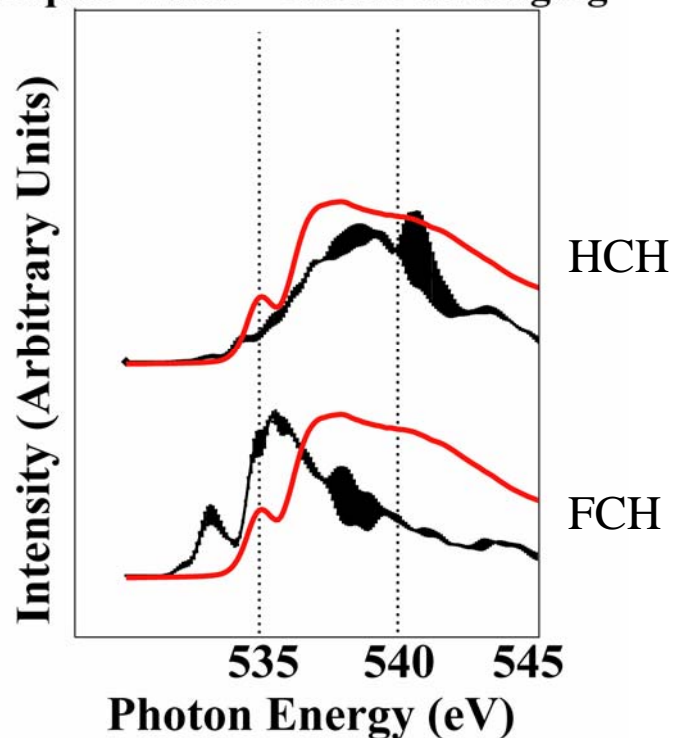
No agreement for ice Ih

Shift instead to maximize agreement with ice Ih for which the structure IS known...  
Corresponds to computed positions  
Ice well-represented  
Liquid as expected for mainly ice-like coord

Cavalleri et al, submitted

# Spectra from CPMD Dump

## Liquid Water - CPMD Averaging

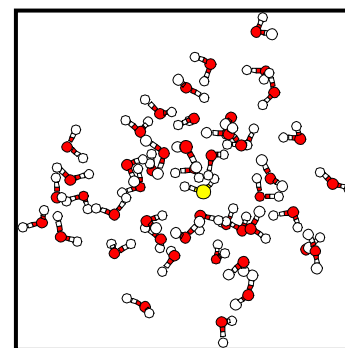


Same energy positions  
for HCH and FCH  
spectra

- Compute spectra for all 32 molecules in representative CPMD dump
- Constant broadening – sum contributions
- 19% SD results in ice-like HCH spectrum
- FCH spectrum not representative
- Both HCH and FCH spectrum positions determined with same  $\Delta$ KS calculations

Note:

- 1) Spectroscopy ultrafast  
→ atoms frozen
- 2) 1s hole localized  
→ no strong correlation  
(cf optical excitations)



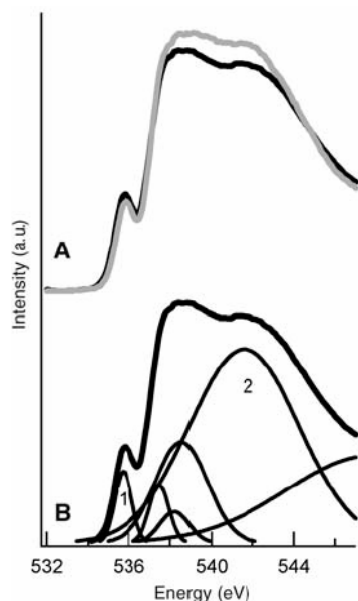
# Energetics of Hydrogen Bond Network Rearrangements in Liquid Water

Jared D. Smith, Christopher D. Cappa, Kevin R. Wilson,  
Benjamin M. Messer, Ronald C. Cohen, Richard J. Saykally\*

A strong temperature dependence of oxygen K-edge x-ray absorption fine structure features was observed for supercooled and normal liquid water droplets prepared from the breakup of a liquid microjet. Analysis of the data over the temperature range 251 to 288 kelvin ( $-22^{\circ}$  to  $+15^{\circ}\text{C}$ ) yields a value of  $1.5 \pm 0.5$  kilocalories per mole for the average thermal energy required to effect an observable rearrangement between the fully coordinated (“ice-like”) and distorted (“broken-donor”) local hydrogen-bonding configurations responsible for the pre-edge and post-edge features, respectively. This energy equals the latent heat of melting of ice with hexagonal symmetry (ice Ih) and is consistent with the distribution of hydrogen bond strengths obtained for the “overstructured” ST2 model of water.

*Science* **306** (2004) 852

# Temperature dependence



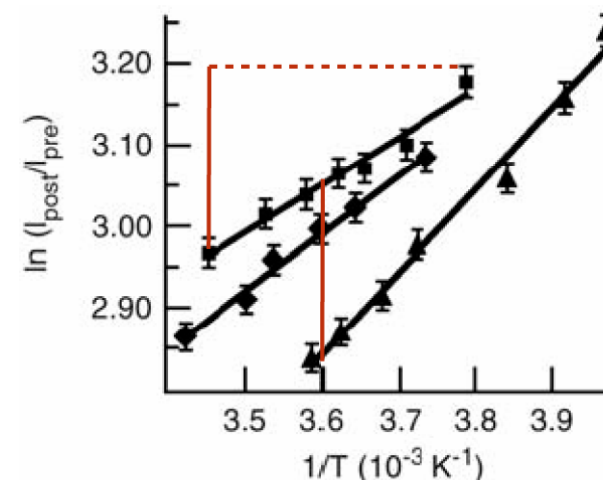
Saykally et al have measured TEY XAS on liquid microjet at different temperatures  
They do XPS-like analysis assuming pre-edge is SD species and post-edge DD

$$\ln\left(\frac{I_{post}}{I_{pre}}\right) = \ln\left(\frac{\sigma_{DD}}{\sigma_{pre}} \exp(\Delta E / RT)\right) =$$

$$\ln\left(\frac{\sigma_{DD}}{\sigma_{pre}}\right) + \Delta E / RT$$

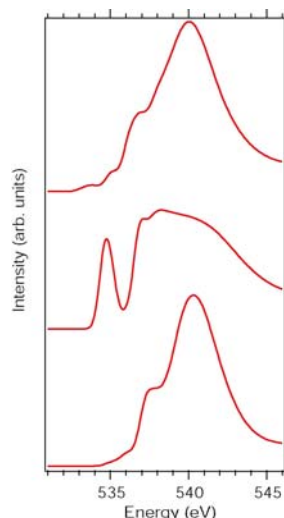
They claim  $1.5 \pm 0.5$  kcal/mol for  $\Delta E$

However, SD species contribute to post-edge and the analysis is more involved...



The ratio for a specific temperature, but measured on different occasions varies more than the total variation of one of the slopes!

Slope varies 1.2-1.8 kcal  
Absolute intensities 21%

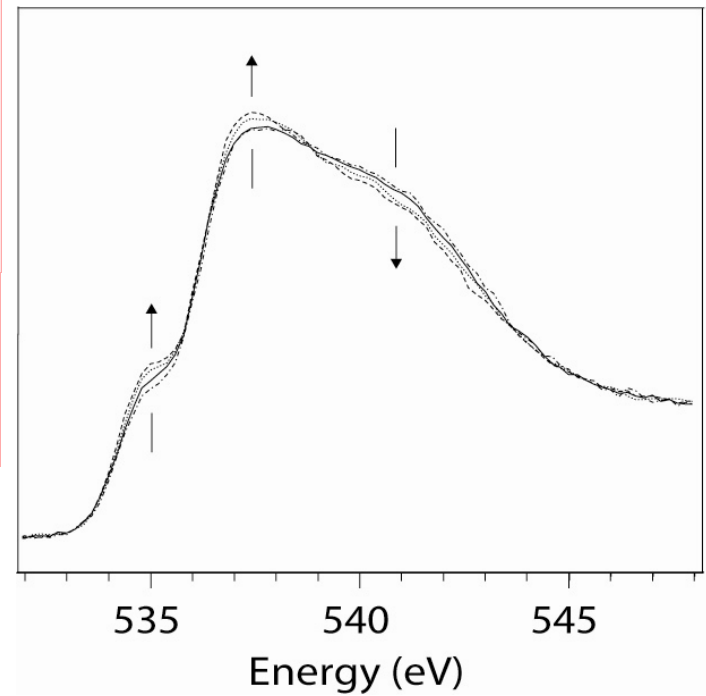
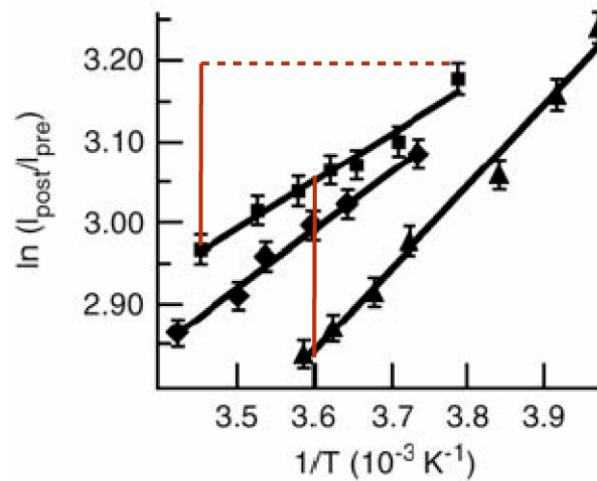
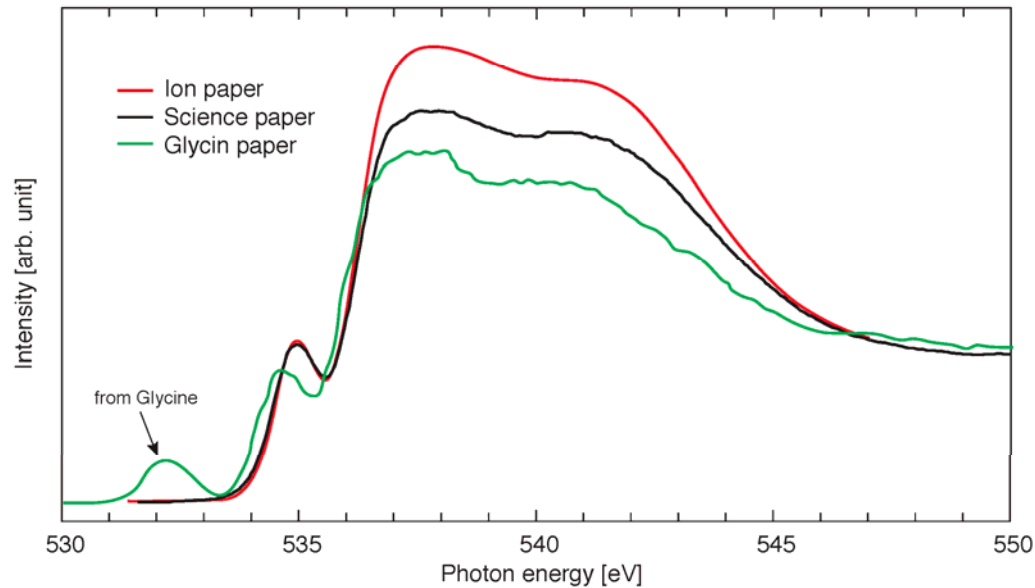




# Spectra Quality

Published water spectra from Saykally's group

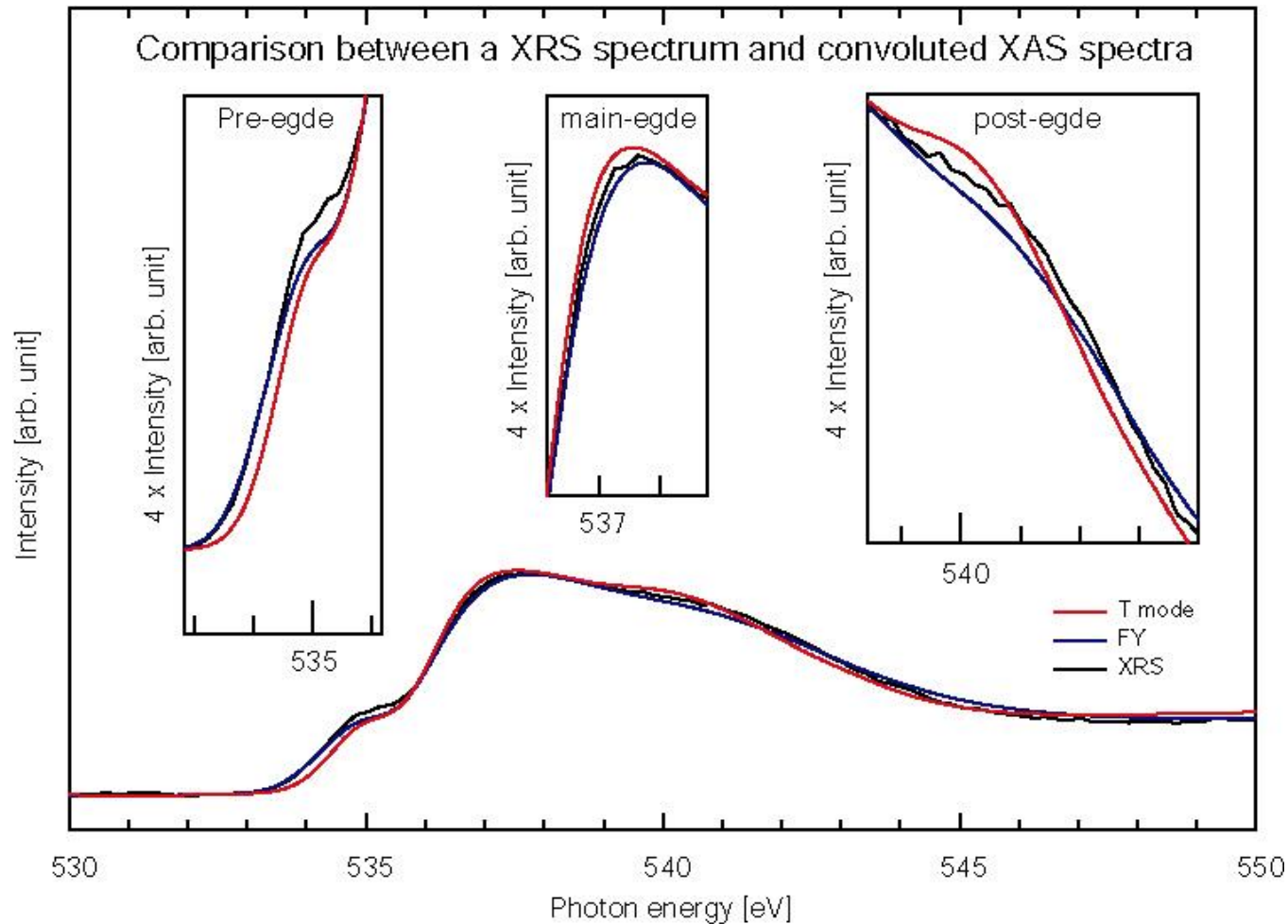
Ion paper - J.Phys. Chem. B 109, 7046.  
Science paper - Science 306, 851.  
Glycin paper - J.Phys. Chem. B 109, 5375.



Temperature dependent X-ray Raman  
4-90 C

# Different measurements methods

## Bulk sensitive XAS

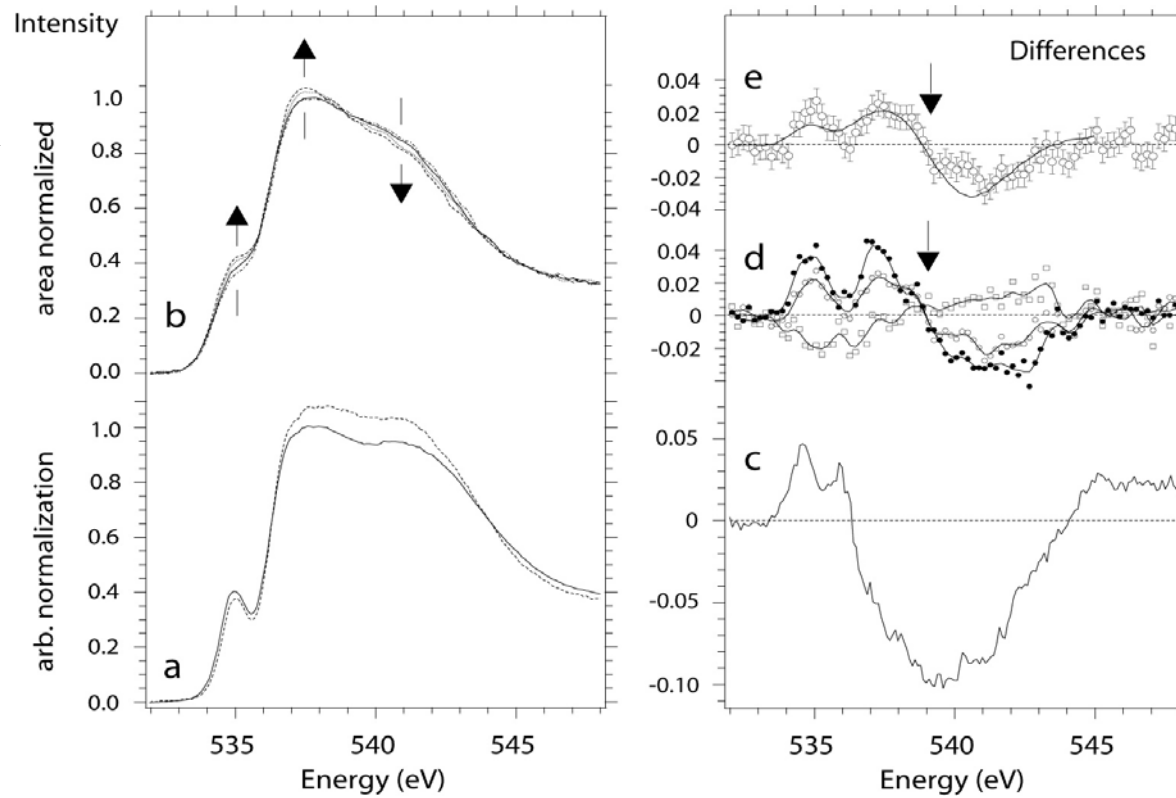


Transmission  
Fluorescence  
X-ray Raman

# Temperature dependence

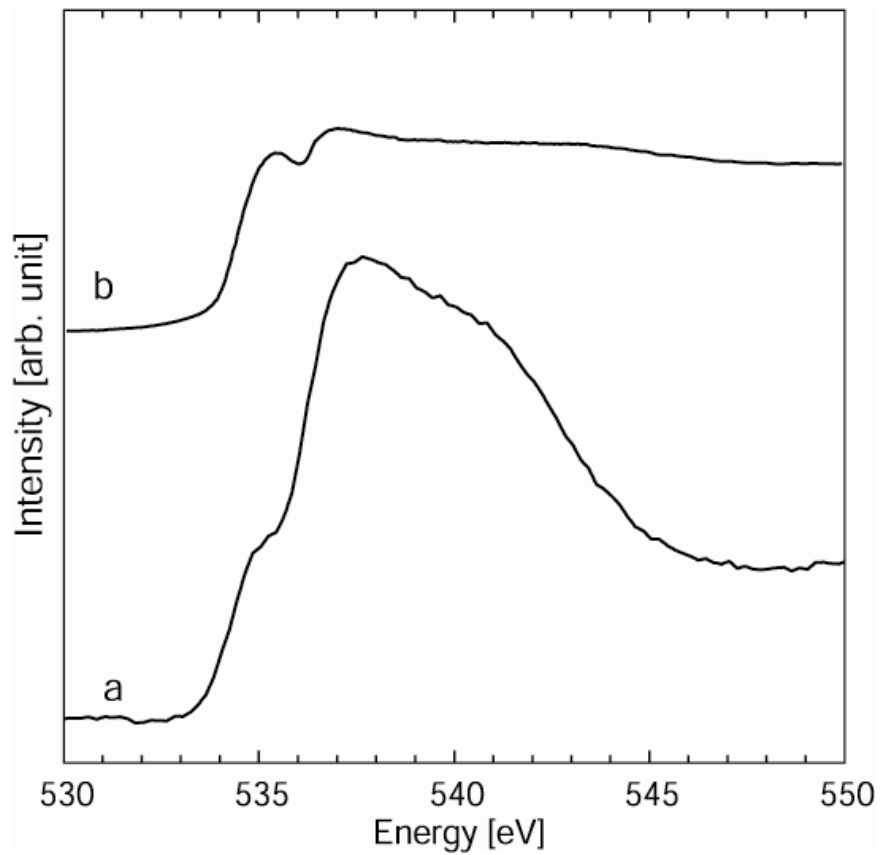
X-ray Raman

Smith et al



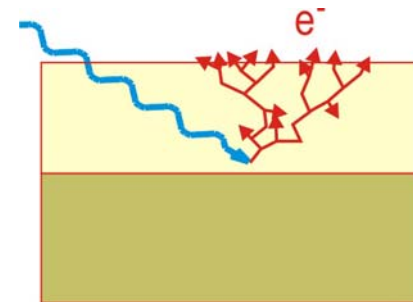
Nilsson et.al. *Science* **308** (2005) 793a

# Saturation effects in electron yield



Electron yield in He gas

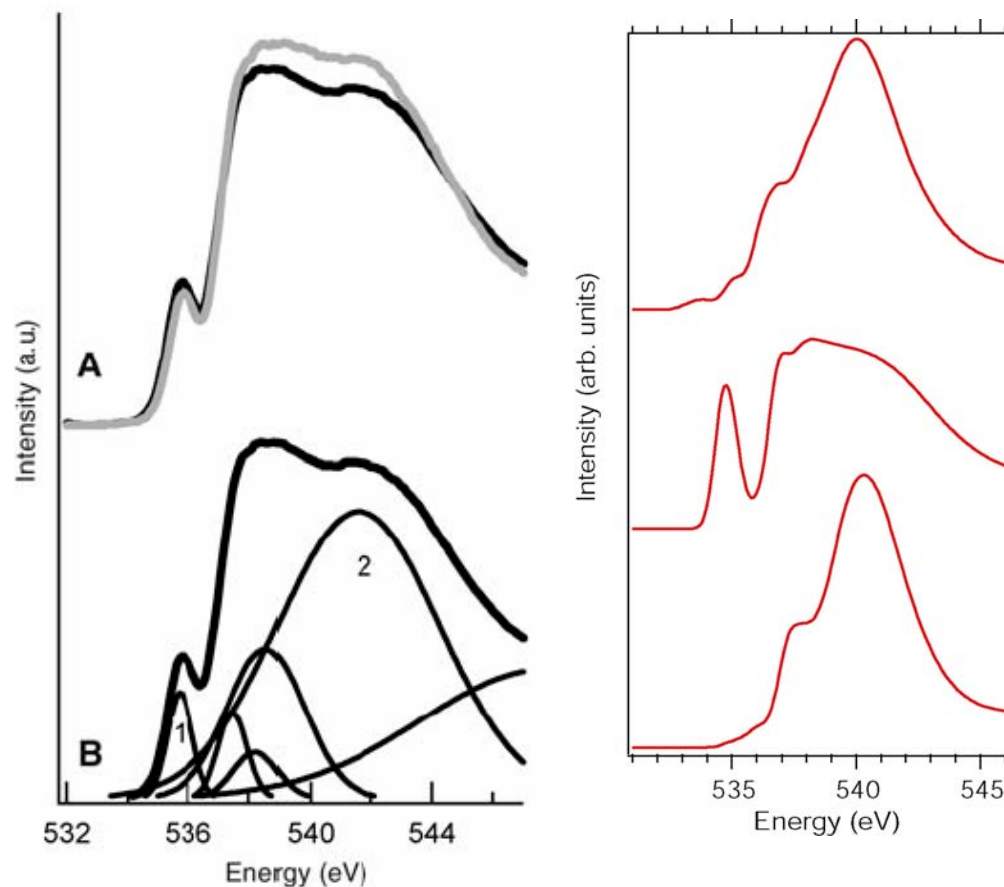
X-ray Raman spectroscopy



# Spectral analysis

Presence of both DD and SD species at post-edge modifies slope:

$$\Delta E = \Delta E' \left( 1 + \frac{0.5 * N_{SD}[T_0]}{N_{DD}[T_0]} \exp\left(\frac{\Delta E}{R} \left( \frac{1}{T_0} - \frac{1}{T_1} \right) \right) \right) > \Delta E'$$



XRS inherently free from saturation  
Dipole limit within 1-2%

Using the experimentally determined  
distributions at 100 K (ice) and 25 °C  
we instead obtain  $\Delta E = 1-4$  kcal/mol

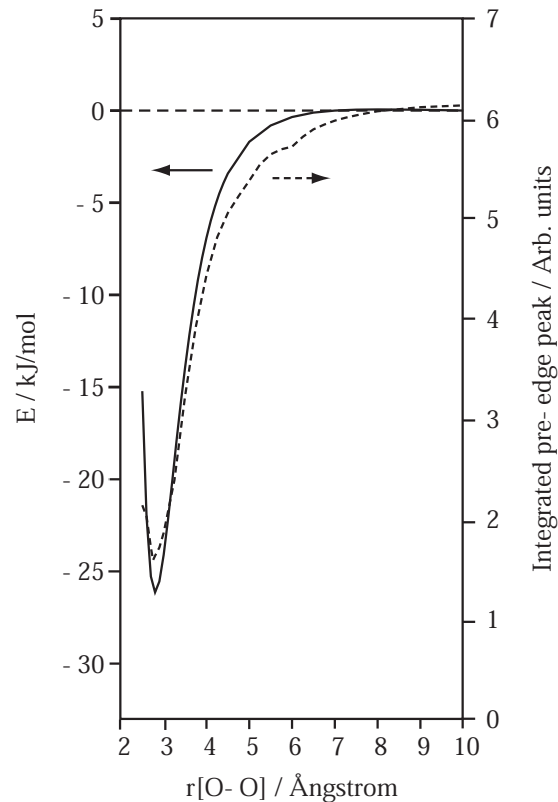


# Energy Criterium

Heat of melting of ice is around 12 % of the sublimation energy of ice

3.5 HB ?

Energy to break an H-bond



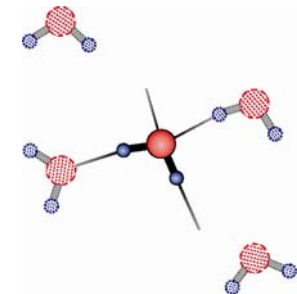
Broken bond is a weak H-bond 40 % lost at cone boundary

Energy per bond kcal/mole

2HB 6.85

3HB 5.29

4HB 6.33



At ambient conditions we have 80% SD (2HB) and 20% DD (4HB)

14.7% energy of melting/sublimation

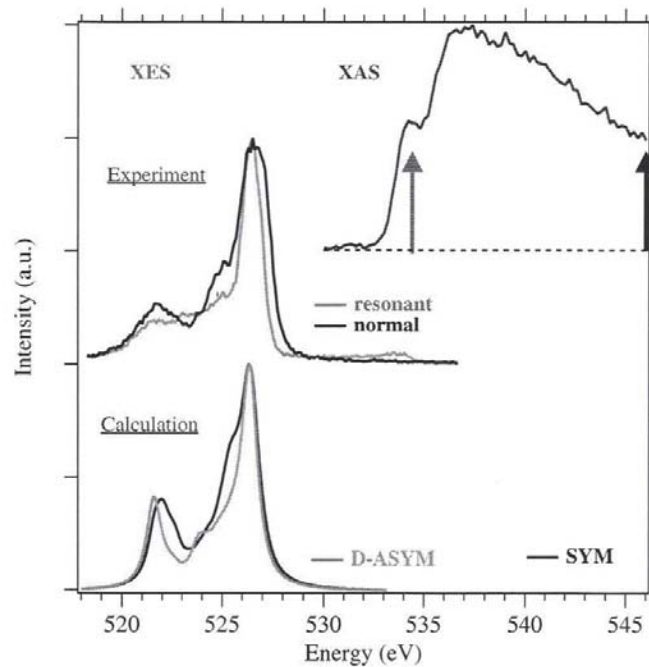
Bending instead of elongation?

Assuming 50% SD with all in 3HB

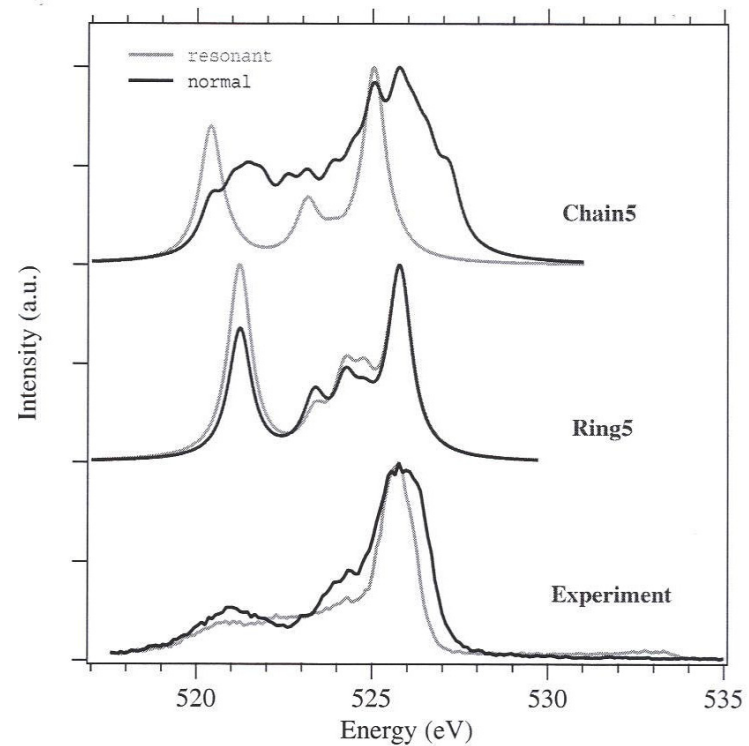
15 % energy of melting/sublimation

# XES of Liquid Water

## X-ray Emission Spectroscopy



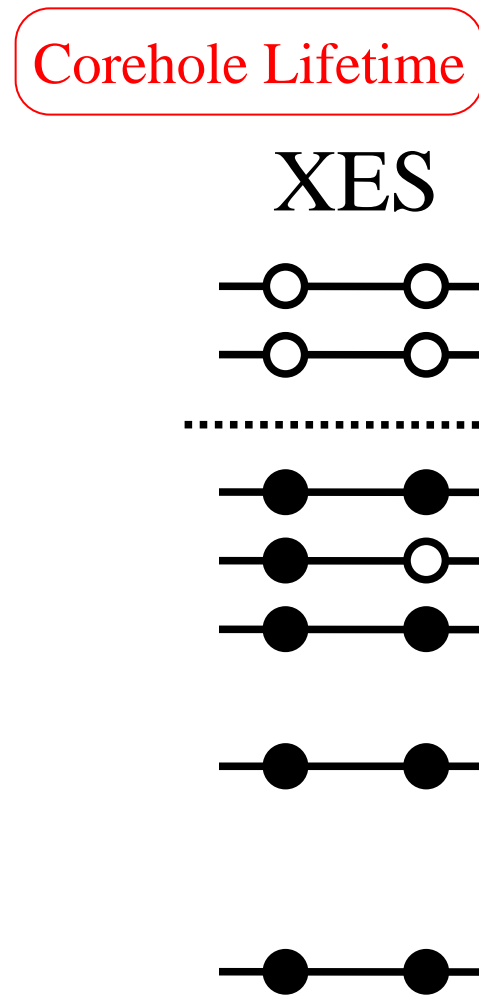
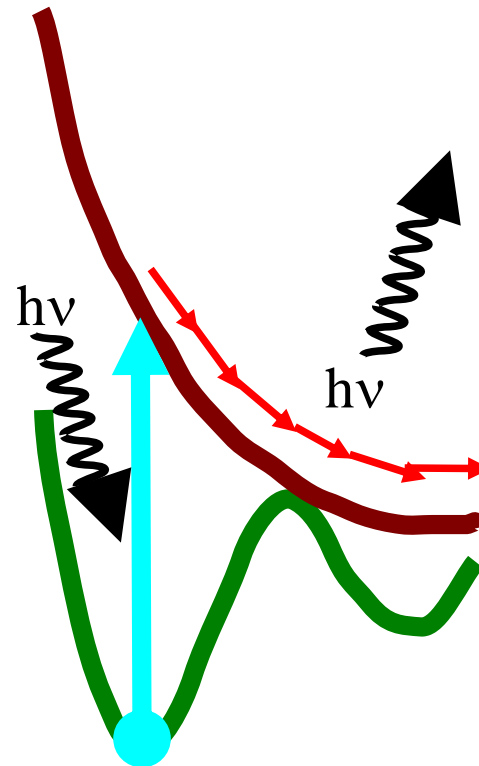
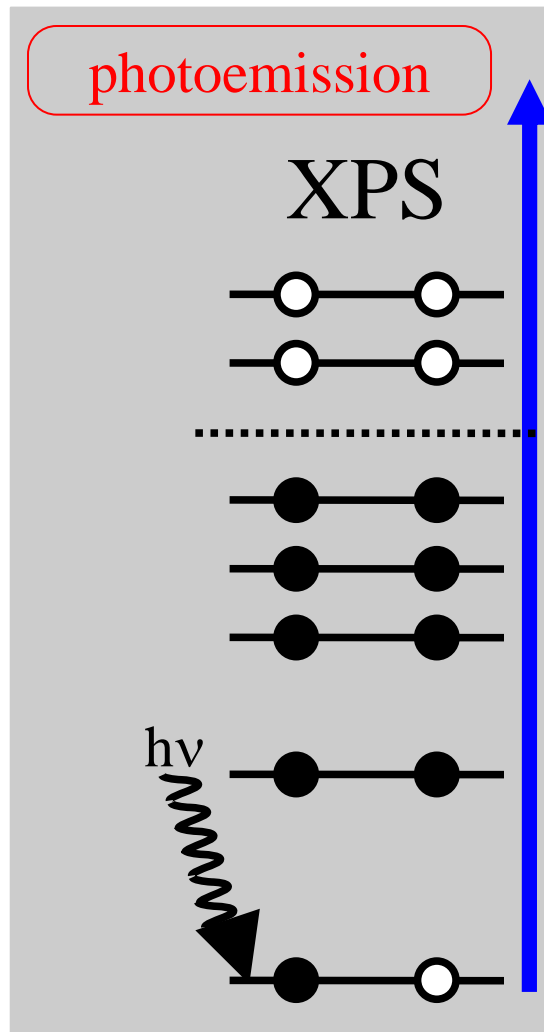
## Theory small clusters with a dielectric continuum



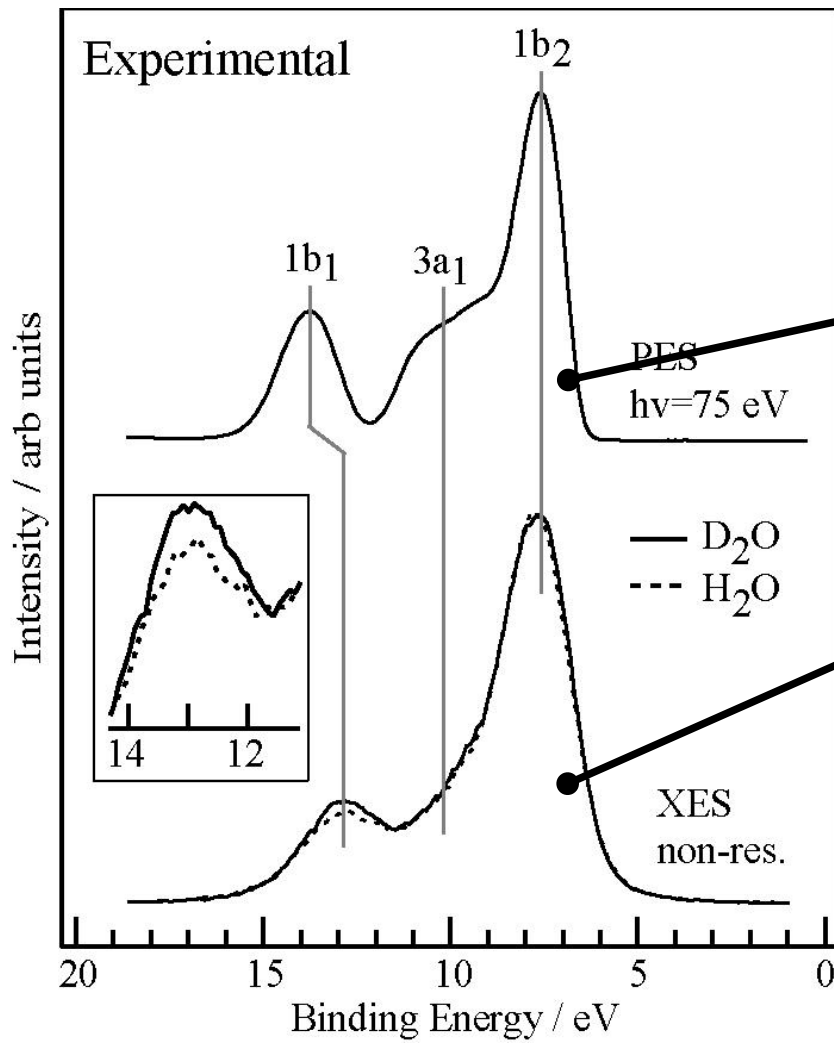
Kashtanov PhD thesis , KTH (Stockholm) 2005

Guo et.al. *Phys. Rev. Lett.* **89**, 137402 (2002).

# Nuclei dynamics



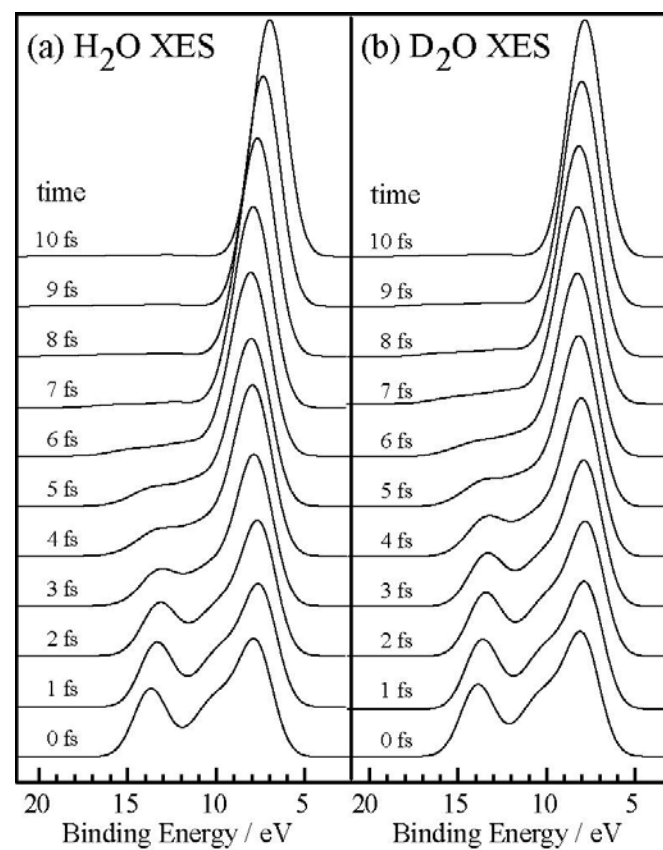
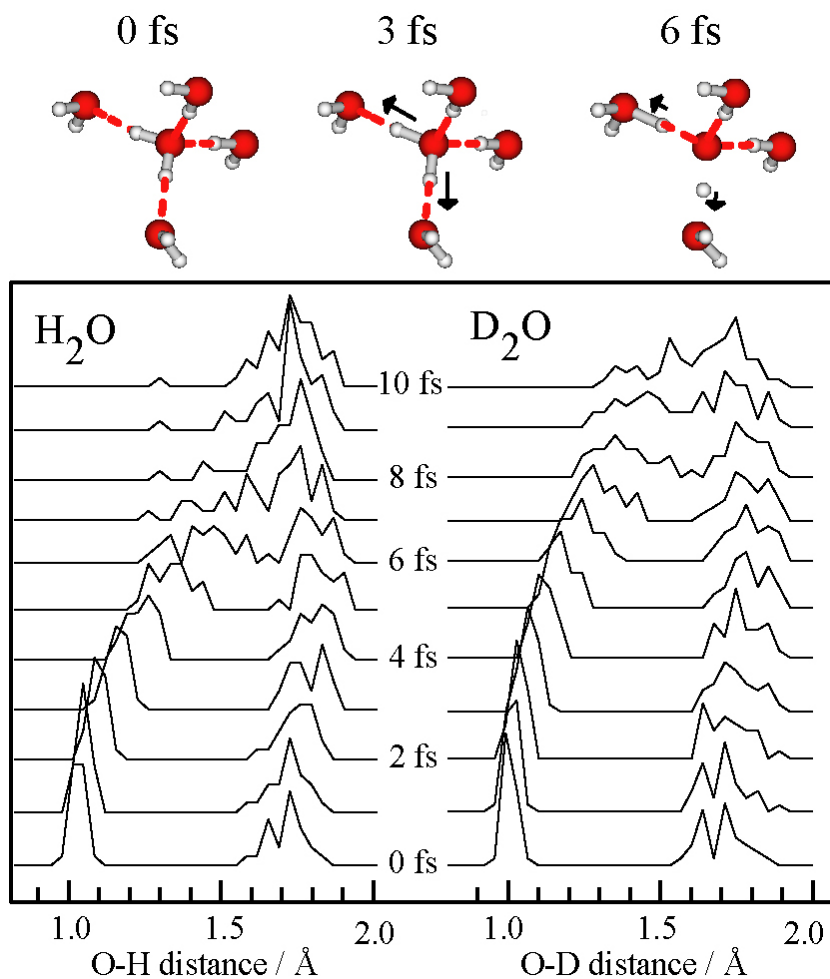
# The X-ray Emission Spectrum of Ice



Every 1 fs of core-excited-state molecular dynamics simulation, a XES is simulated

B.Brena et al. PRL **93**,148302 (2004)

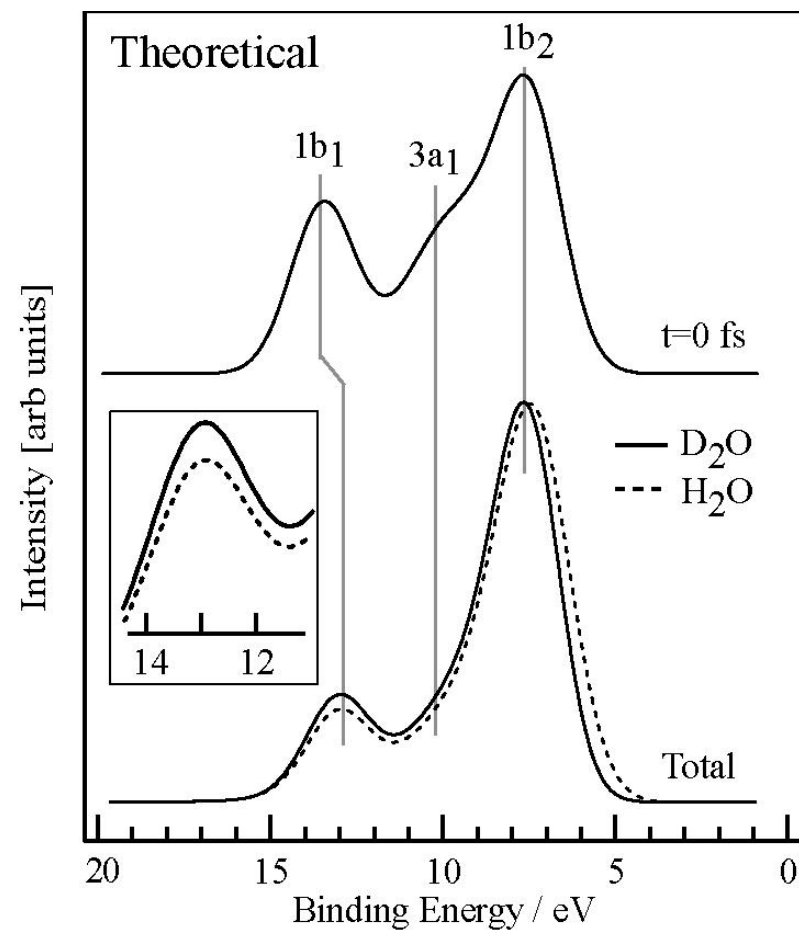
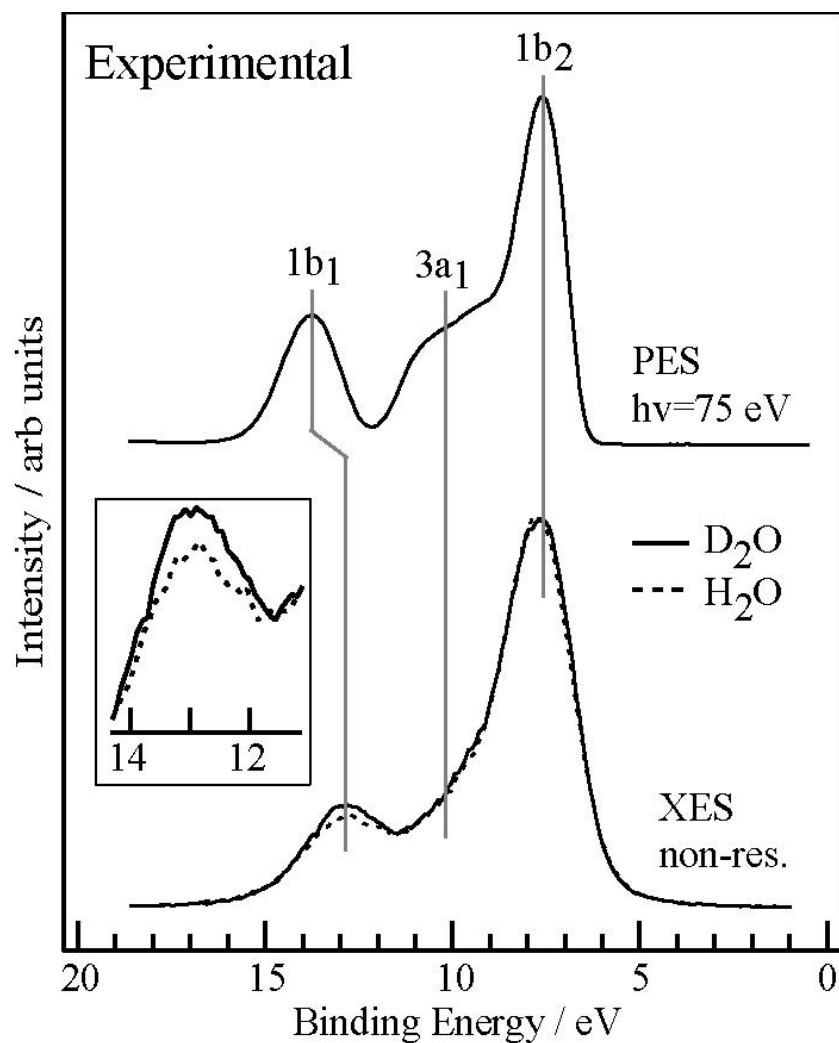
# Water dissociation



B.Brena et al. PRL **93**,148302 (2004)



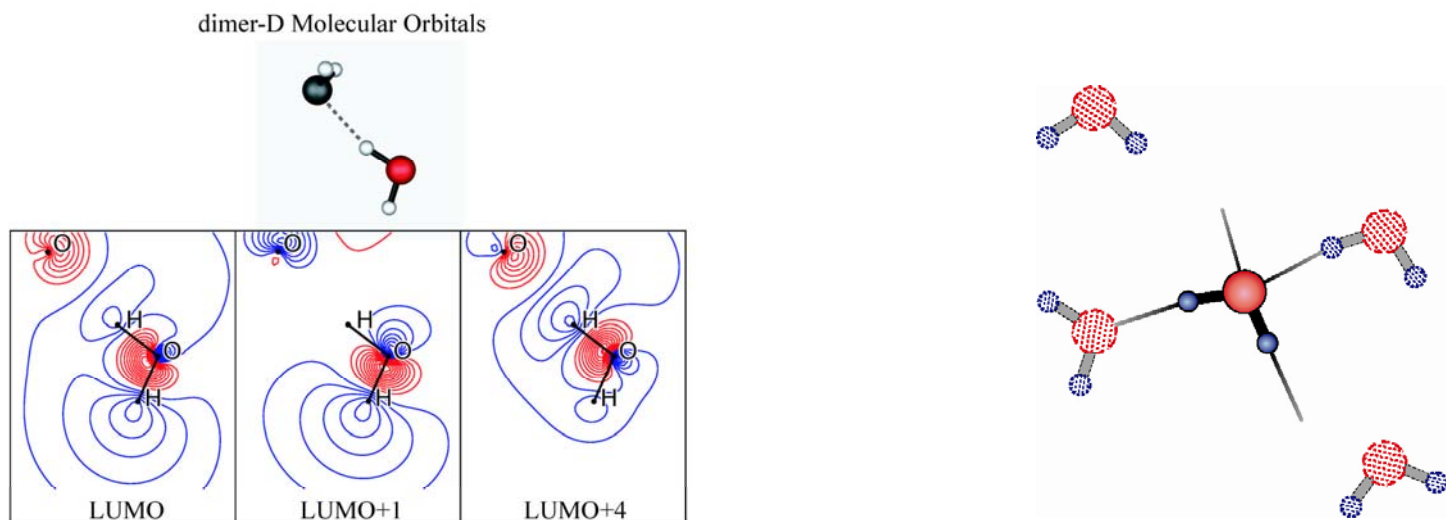
# Comparison Experiment and Theory



B.Brena et al. PRL **93**,148302 (2004)

# Liquid Water

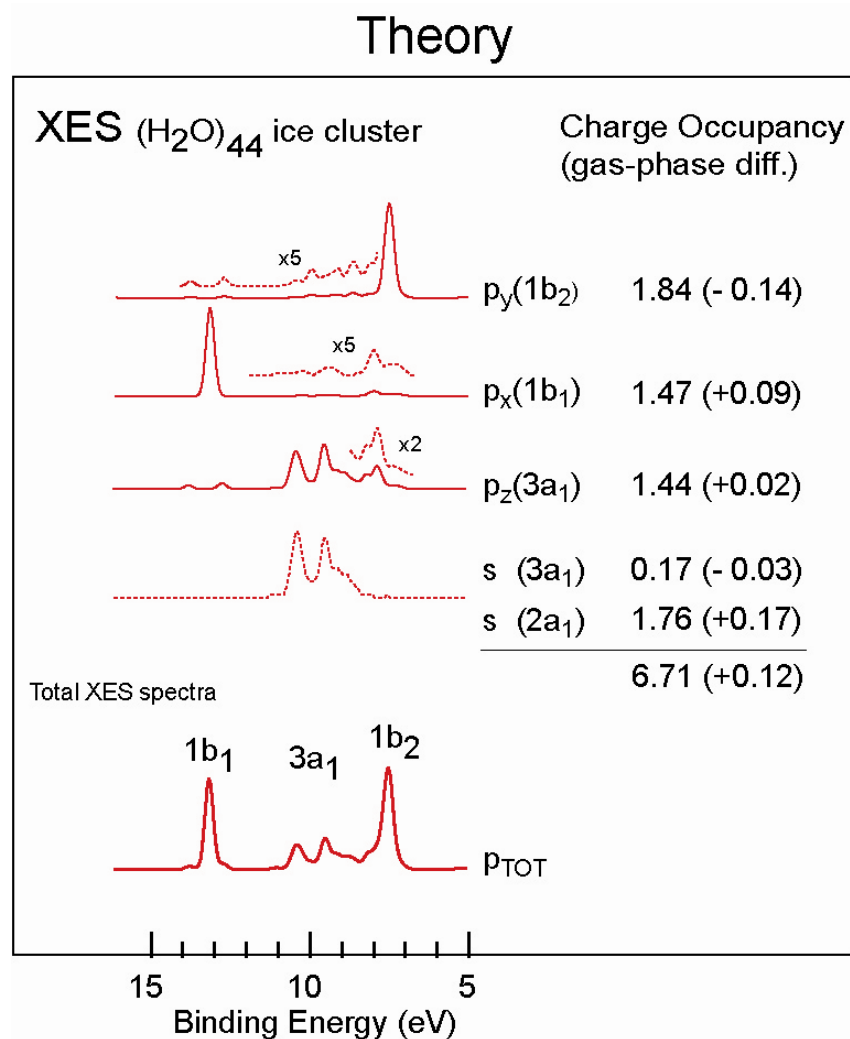
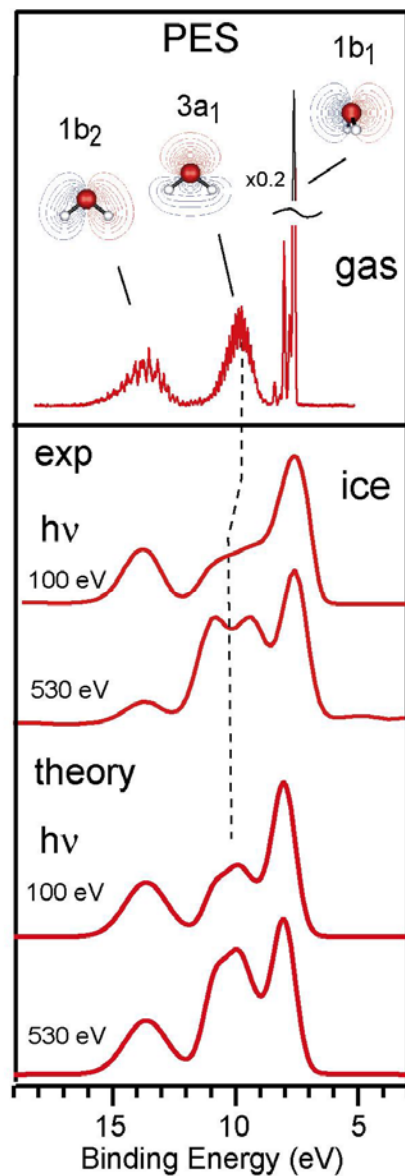
Clemens Heske presentation



Different dissociation dynamics depends on excitation energy

Odelius et.al. *Phys. Rev. Lett.* **94** (2005) 227401

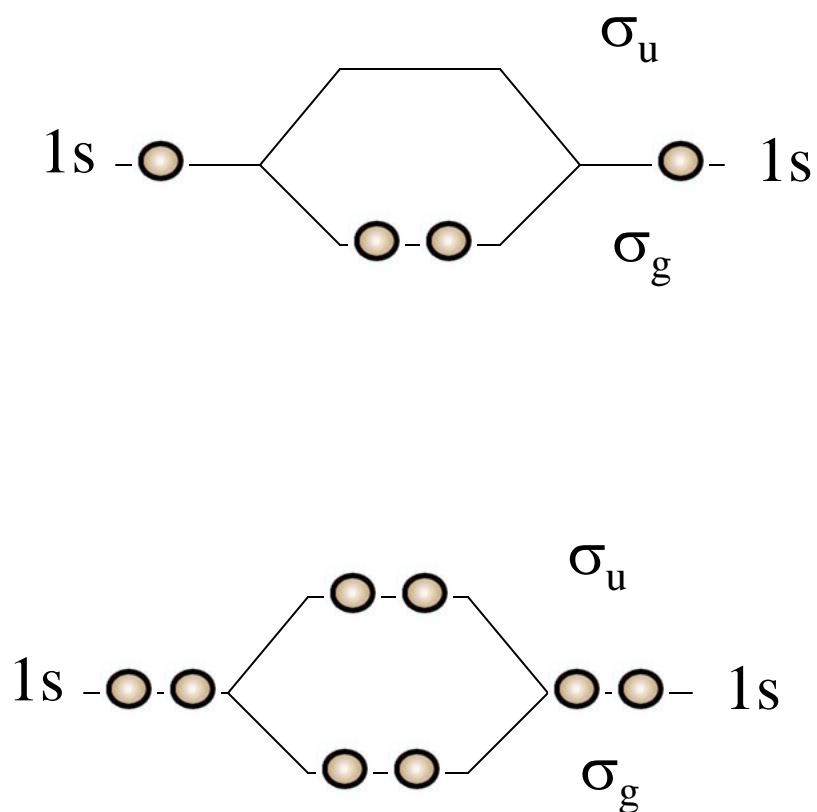
# Occupied orbitals in ice



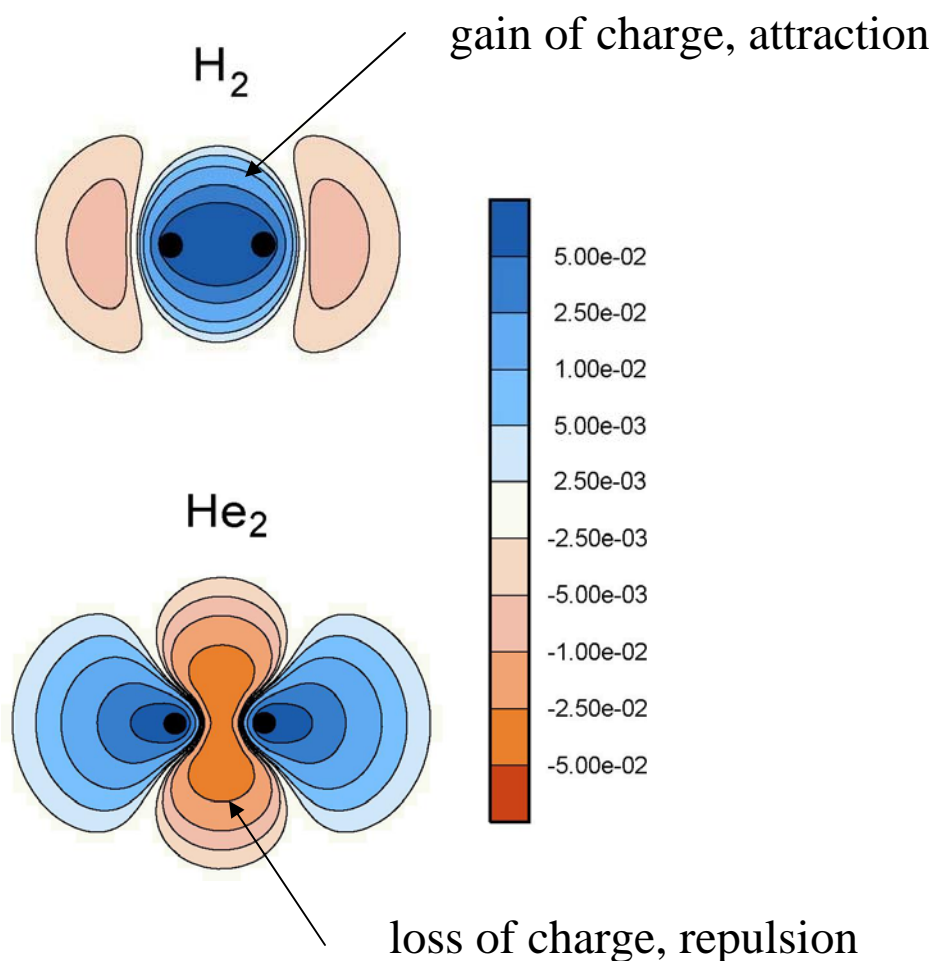
Nilsson et.al. J. Chem. Phys. **122**, 154505 (2005)

# Repulsive and Attractive Covalent Interactions

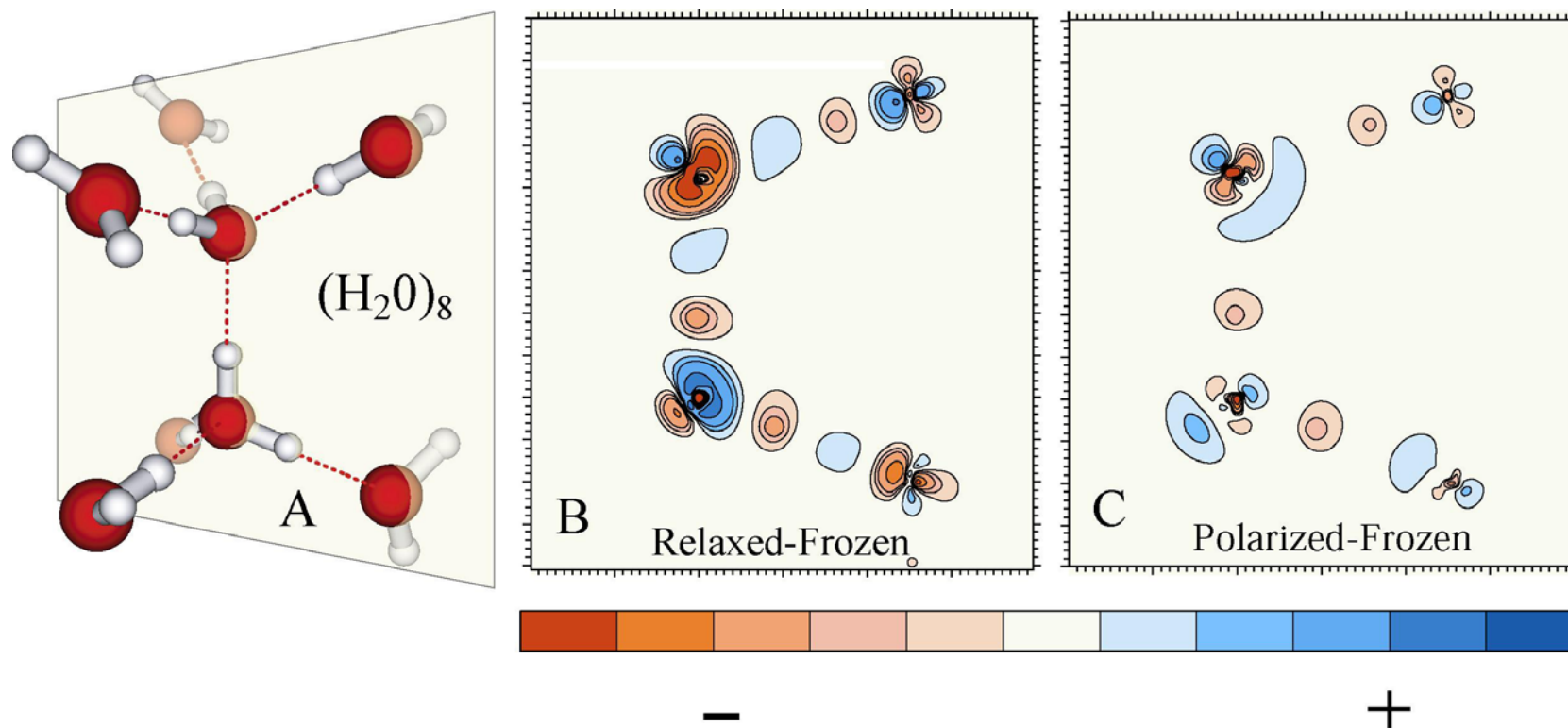
MO diagram



Difference in Charge Density

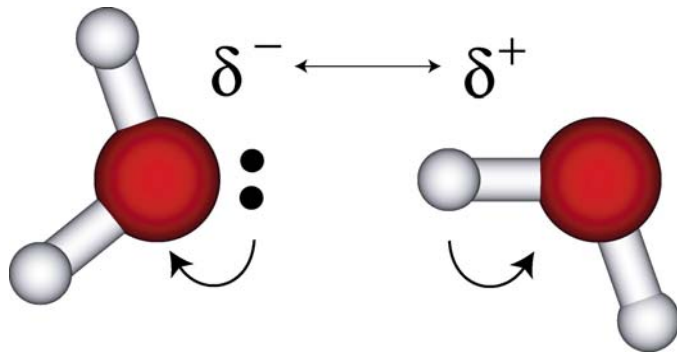


# Charge Density Difference plots



Nilsson et.al. J. Chem. Phys. **122**, 154505 (2005)

# H-Bonding Principle



## Electrostatic interaction

The units needs to come to short distance

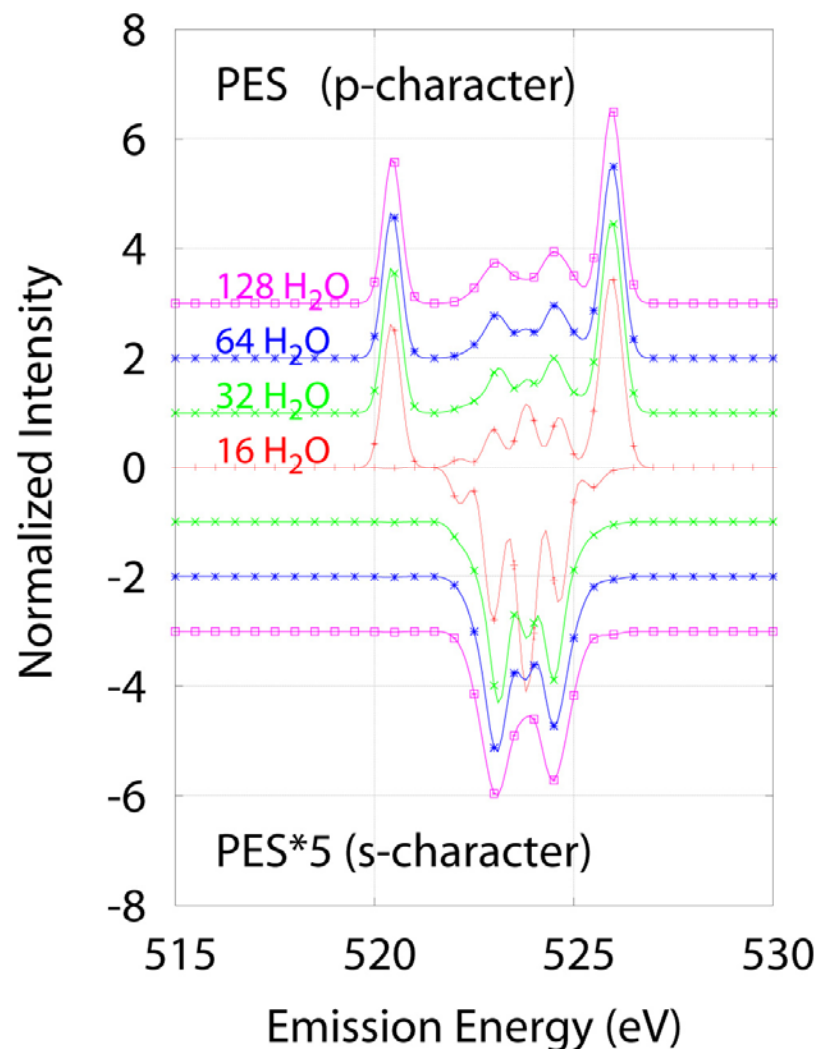
**Repulsion** Charge transfer between units and s-p rehybridization to redistribute charge in order to minimize repulsive interaction

How will these effect come in to play in liquid water?

Will the charge transfer effects and rehybridization be smaller for a SD compared to DD species

# Long Range Interaction

PES spectra of Ice from CPMD  
(Periodic and net dipole=0)



Strong orbital interaction in the occupied orbitals due to band formation

Large errors with small clusters



# Future direction

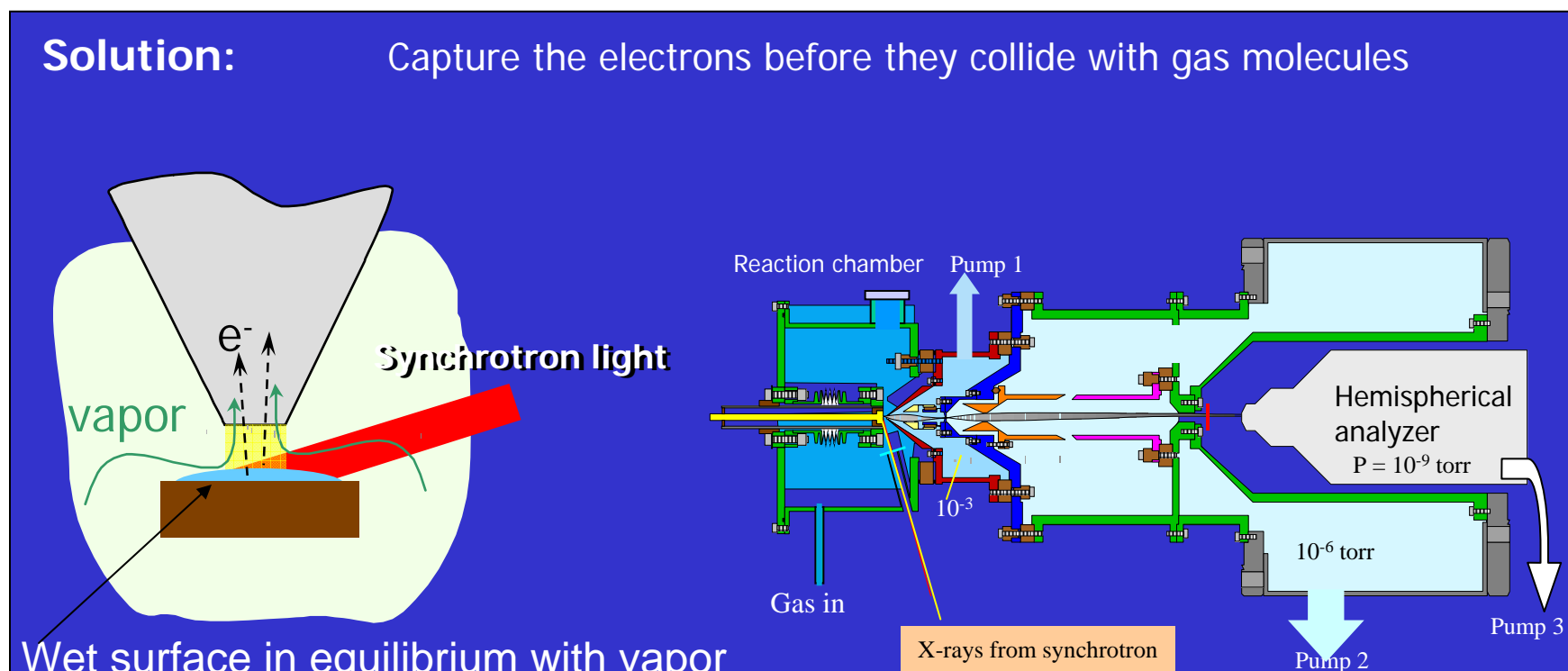
## Ambient pressure Photoelectron Spectroscopy

### Solving the Problem of Scattering of electrons by gas phase molecules

For 500 eV electrons: At  $P \sim 4$  Torr, 1 mm travel. At  $P \sim 45$  Torr, 0.1 mm travel

#### Solution:

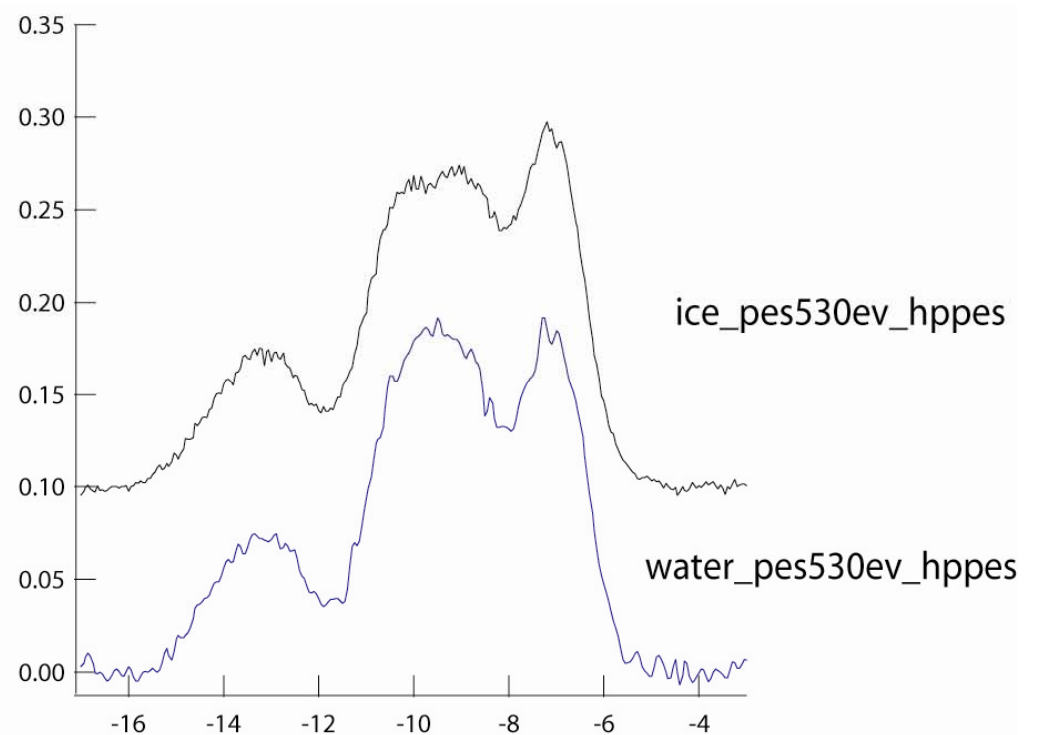
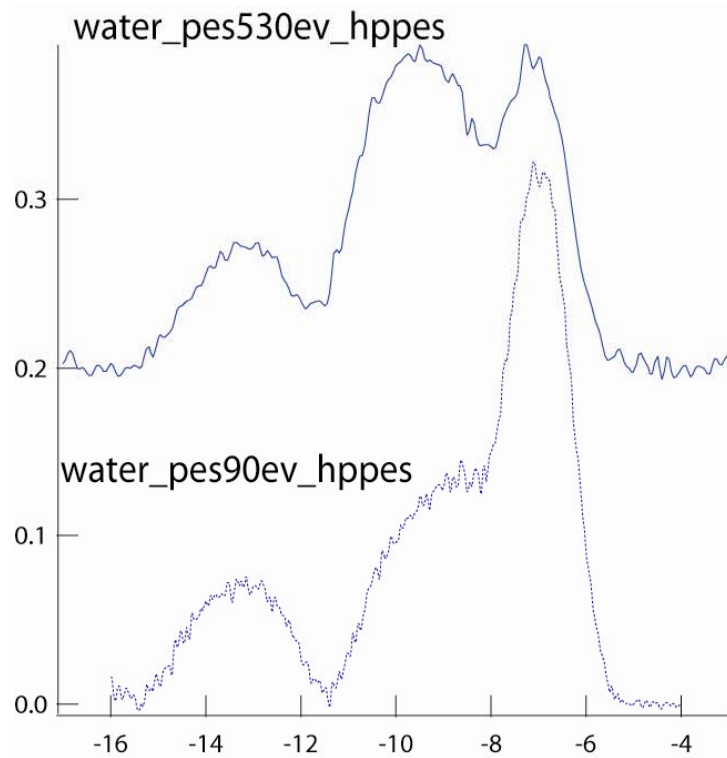
Capture the electrons before they collide with gas molecules



*A differentially pumped electrostatic lens system for photoemission studies in the millibar range*

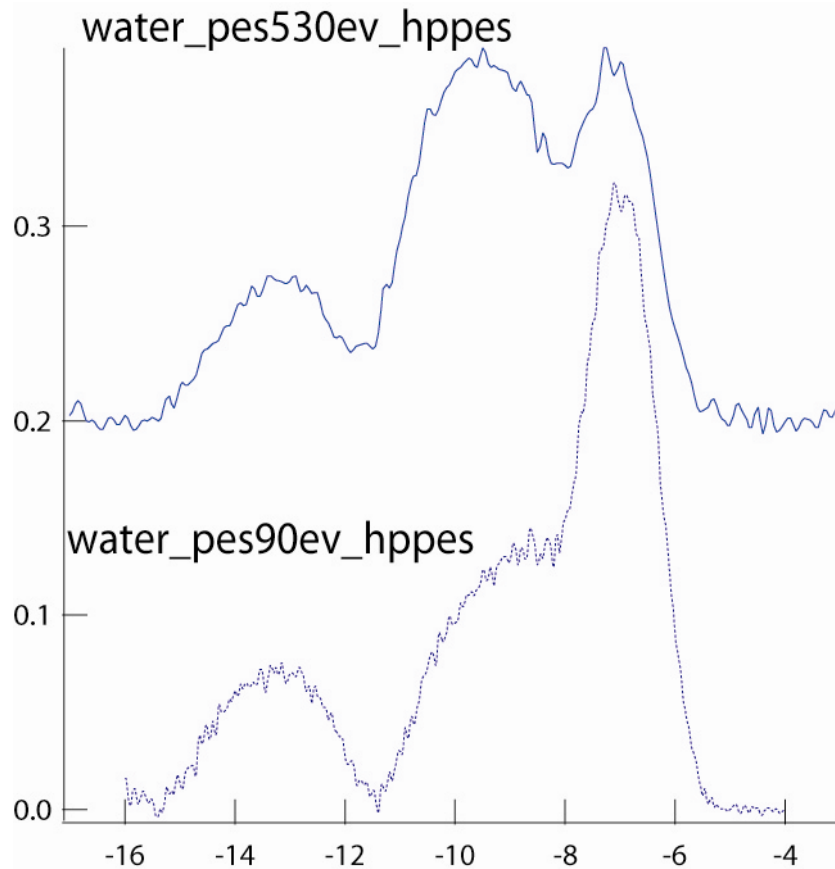
D. Frank Ogletree, H. Bluhm, G. Lebedev, C. Fadley, Z. Hussain and M. Salmeron. *Rev. Sci. Instr.* **73**, 3872 (2002)

# PES on Liquid Water

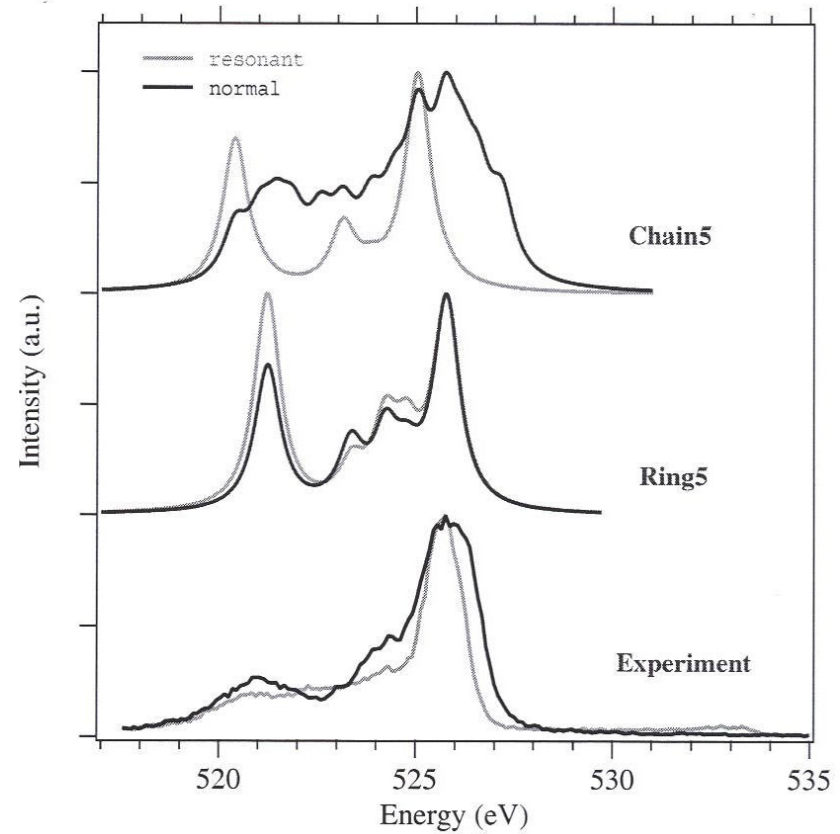


Nordlund et.al. unpublished

# Comparing PES and XES



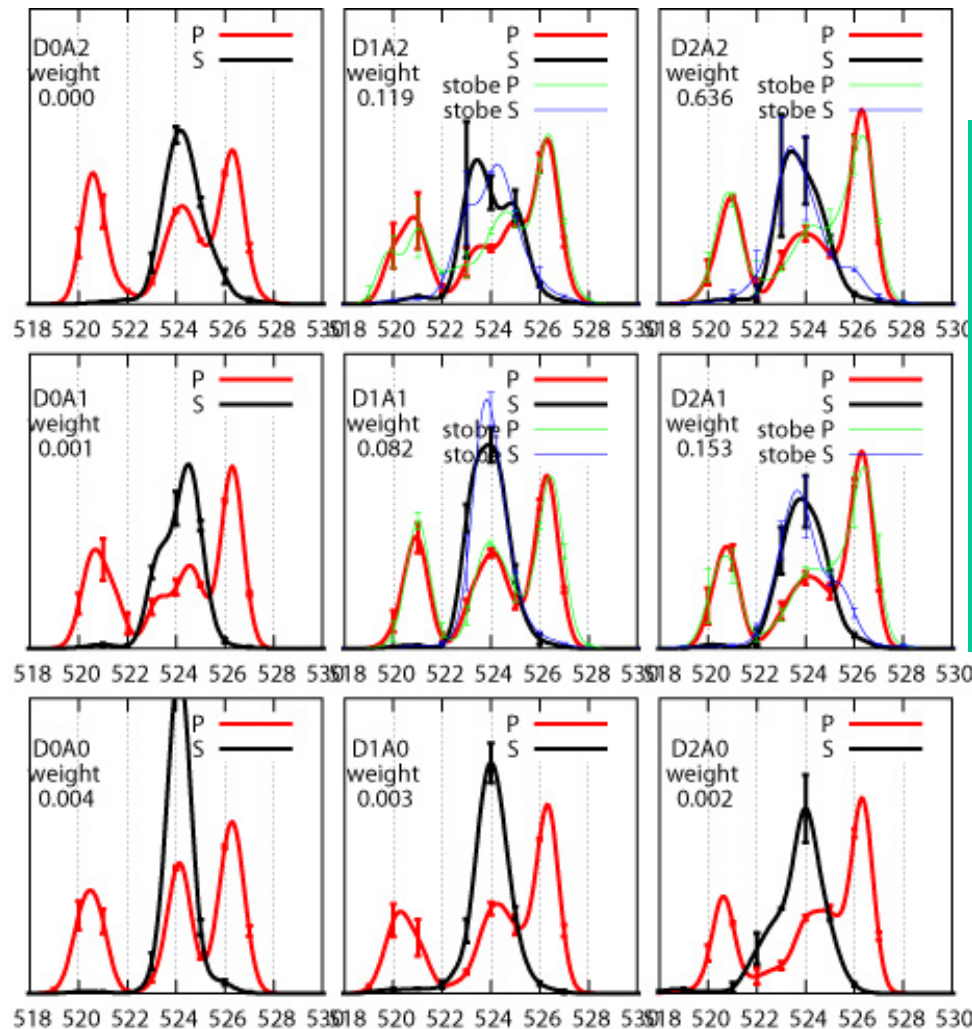
Nordlund et.al. unpublished



Kashtanov PhD thesis , KTH (Stockholm) 2005

Guo et.al. *Phys. Rev. Lett.* **89**, 137402 (2002).

# Many configurations



Configurations from CPMD  
32 Molecules in the cell  
Classifications according to H-bonds

Spectral shape from occupied orbitals not sensitive to H-bonding

# Conclusion Controversy

- Half core hole approximation for XAS

Full core hole gives wrong energy scale and no H-bonding resonance in spectra

Half core gives a good agreement with experiment

- Saykally study has severe experimental issues and wrong assumptions for spectral analysis.

Relevance of two component system? Recent PNAS article by the same group

Energetic can be addressed and is consistent with the asymmetrical model

- Liquid water XES spectrum

Smearing of XES spectra due to ultrafast dissociation during core hole lifetime

Theoretical calculations has to include large number of molecules in order to describe the band structure of water

Photoelectron spectra is more a direct measure of the occupied orbital

XAS is more sensitive to water structure than a measure of occupied orbitals